

**On not deriving auxiliary *have* from *be***  
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*have*<sub>main</sub> as *be*+P The tradition of treating main-verb *have* as being composed of *be* and a functional element dates to Benveniste (1971). The crosslinguistic evidence marshaled by Benveniste comes in two categories. The first argument is from transitivity: *have* has a surface subject and object but otherwise behaves as an intransitive verb. Second, it is cross-linguistically common for languages to express *have* as “be-to”—*i.e.*, as *be* plus a locative-marked phrase.

- (1) a. nadur morin buy  
to.me a.horse is  
‘I have a horse.’ (Classical Mongol)
- b. min hespek heyê  
to.me a.horse is  
‘I have a horse.’ (Kurdish)
- (Benveniste, 1971, 169)

Other arguments for deriving come from word order: Existential and possessive constructions are often composed of a locative subject, copula, and theme. And existentials and possessives also show similar definiteness effects, providing support for the claim that these two constructions are derivationally related.

- (2) a. pöydä-llä on kynä  
table-ADE COP pencil  
‘There is a pencil on the table.’
- b. Liisa-lla on mies  
Lisa-ADE COP man  
‘Lisa has a husband.’
- (Freeze, 1992, (51); Finnish)
- (3) a. I have a car. (*have* = *own*)
- b. I have the car. (*have* ≠ *own*)
- (Bjorkman, 2011, 130)

**The decompositional analysis of main-verb *have***

- (4) a. A book is on the table.
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- b. Sophie has a book.
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The decompositional analysis is illustrated in (6) below, where it is compared with a *be*+P construction in (5). *Be* takes a small-clause complement. F head-moves and incorporates into *be*, meaning that *have* is composed of *be* and a locative functional head. In both structures, the possessor asymmetrically *c*-commands the possessum. The lower DP moves past the higher locative expression in *be*-constructions, but the possessum cannot move across the possessor in *have*-constructions.

This is because F bears a [+LOC] feature and Agrees with the [+LOC] possessor, attracting it to its specifier. However, Extending the analysis to *have*<sub>aux</sub> leads to contradictions in (a) ordering between head movement and phrasal movement, and (b) which phrases move to SpecVP.

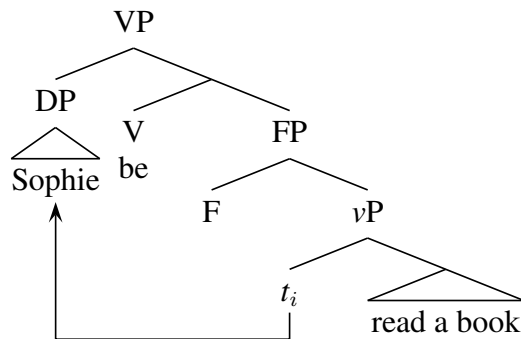
***have*<sub>aux</sub> as *be*+P** Recent work (Bjorkman, 2011, *i.a.*) also assumes that *have*<sub>aux</sub> is composed of *be* and a locative preposition. In some languages, there is morphological evidence for this, as auxiliary *have* is realized as *be* + an aspectual particle that is homophonous with or related to a preposition:

- (5) Tha mi air litir a sgrìobhadh  
 be.PRES 1SG ON letter TRANS write.VERBAL.NOUN  
 ‘I have written a letter.’ (Bjorkman, 2011, 131; Scottish Gaelic)
- (6) a. Mu-l on auto pes-tud  
 I-ADE be.3SG car wash-PAST.PTCP  
 ‘My car is/has been washed.’/‘I have washed the car.’  
 b. Mu-l on juba maga-tud  
 I-ADE be.3SG already sleep.PAST.PTCP  
 ‘I have already slept.’ (*ibid.* 131–132; Estonian)

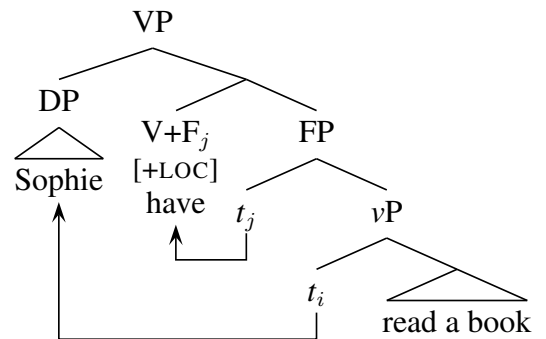
There are, therefore, a cline of language types from languages that use exclusively *be*<sub>aux</sub> (Bulgarian, Finnish) to languages that use both *be*<sub>aux</sub> and *have*<sub>aux</sub> (based on transitivity or  $\varphi$ -features; French, Italian) to languages that use exclusively *have*<sub>aux</sub> (English, Spanish). The conclusion is that, as with main verbs, the similarities between *have*<sub>aux</sub> and *be*<sub>aux</sub>+P constructions should be captured derivationally.

**The decompositional analysis of *have*<sub>aux</sub>**

- (7) a. Sophie is reading a book.



- b. Sophie has read a book.



The analysis of *have*<sub>main</sub> can be extended to *have*<sub>aux</sub> if *have*<sub>aux</sub> expresses a locative relation between a subject and an event. *Be*<sub>aux</sub> and *have*<sub>aux</sub> co-occur with verbs that take their own complete argument structures. Both *be*<sub>aux</sub> and *have*<sub>aux</sub> attract the most prominent argument of vP to their specifiers, but there are language-particular restrictions on what triggers head-movement of F to V. Kayne (1993) presents an analysis in which phrasal movement of a phrase with the right set of features activates F and allows it to undergo head movement. One alternative to this analysis is that F attempts to Agree with an argument in the vP. If agreement succeeds, head movement is possible; if not, no head movement occurs. It is troubling, however, that neither of these options is compatible with contemporary theories of Agreement or movement. **Inversion** *Be*<sub>aux</sub> licenses participle preposing, an inversion that is not licensed by *have*<sub>aux</sub>:

- (8) a. Our local congressman is speaking at today's lunch.  
 b. Our local congressman has spoken at today's lunch.  
 c. Speaking at today's lunch is our local congressman.  
 d. \* Spoken at today's lunch has our local congressman.

One possible explanation for this fact is that *have*<sub>aux</sub>, like *have*<sub>main</sub>, must Agree with a [+LOC] phrase in its specifier. But such an Agree analysis encounters problems if all subjects must move through SpecV+F<sub>LOC</sub>P. Unlike *have*<sub>main</sub>, the phrases that move through [Spec,V+F<sub>LOC</sub>P] with *have*<sub>aux</sub> are not limited to small-clause arguments of *have*. *Have*<sub>aux</sub> can co-occur with raising verbs. In (9a–9b), the subject is not an argument of the clause containing *have*:

- (9) a. Sophie has happened to stumble upon many opportunities.  
 b. Speaking at today's lunch seems to be a local congressman.

And when *have*<sub>aux</sub> appears in a sentence with instance of *be*, preposing is licit:

- (10) Speaking at today's lunch has been our local congressman.

It cannot be the case that *have* blocks these processes. Instead, *be* must actively license them. After the inversion occurs, head movement of F to V must be impossible when there is only one auxiliary, but head movement must be possible when *have*<sub>aux</sub> co-occurs with *be*<sub>aux</sub>. This is a non-local interaction, and it is not clear how head movement could be sensitive to elements in the embedded clause that would result in a cross-clausal interaction between head movement and arguments of the main verb.

**There-insertion** *There*-insertion poses similar problems for the decompositional analysis., as it is possible with *be*<sub>aux</sub> but not with *have*<sub>aux</sub>. *There*-insertion is, however, possible when *have*<sub>aux</sub> co-occurs with *be*, and it is possible in raising constructions:

- (11) a. There have been several local congressmen speaking at today's lunch.  
 b. There have happened to be several local congressmen at the meetings.

These facts remain unexplained if *have* must Agree with a locative subject. Furthermore, the analysis requires us to stipulate that *there* is non-locative. Recent work (Deal, 2009) assumes that *there* is base-generated in the specifier of *be*. If *there* cannot be base-generated in the specifier of *have*, it must be merged after head movement occurs. But inverted subjects must move to SpecVP before head movement in order to be licensed by *be*. Therefore, there is no single ordering relationship between head movement and other syntactic processes.

**Selection** Cross-linguistically, auxiliary selection may be dependent on various factors. Perhaps the most familiar of these factors is argument structure:

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|---------|--|----|---|
| (12) a. | Maria ha comprato i libri<br>Maria has bought the books<br>'Maria has bought the books.'   | c. | Maria ha dormito<br>Maria has slept<br>'Maria has slept.'   |
| b.      | * Maria è comprato/a i libri<br>Maria is bought the books<br>'Maria has bought the books.' | d. | * Maria è dormito/a<br>Maria is slept<br>'Maria has slept.' |

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|---|---|
| e. Maria è arrivata<br>Maria is arrived-FEM<br>‘Maria has arrived.’ | f. * Maria ha arrivato<br>Maria has arrived-FEM<br>‘Maria has arrived.’ |
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(Kayne, 1993, Italian)

In this case, the generalization must be that only external arguments are eligible for movement to [Spec,V+F<sub>LOC</sub>P] when F has incorporated into V. When F does not incorporate into V, on the other hand, only internal arguments can move to [Spec,V]. This requires head movement to precede phrasal movement. Again, there is not clear that there should be a relationship between head movement and particular argument-structure positions. Other cases of auxiliary selection are dependent on  $\varphi$ -features of the subject. In these cases, an Agree relation must hold between *have/be* and the main verb, whereby some feature would be transmitted from the main verb to F and allow F to undergo head movement to V. This could not be not be the [+LOC] feature that generally allows for such movement. And once again, this kind of non-local dependency is not compatible with contemporary theories of agreement.

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| (13) a. so’ visto a   Ciro<br>AM seen ACC   Ciro<br>‘I have seen   Ciro.’ | b. ha visto a   Ciro<br>HAS seen ACC   Ciro<br>‘He has seen   Ciro.’ |
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(McFadden, 2007, (21); Neapolitan)

**Conclusion** A decompositional analysis of *have*<sub>main</sub> can account for the similarities between locatives and possessives. Incorporation of a locative small-clause head to *be* describes the alternation between uses of *be* and *have*. But the decompositional analysis of *have*<sub>aux</sub> is less successful. Some phenomena require phrasal movement to precede incorporation, while other phenomena require head movement to precede phrasal movement. The distinct syntactic behaviors of *have*<sub>aux</sub> and *be*<sub>aux</sub> do not make reference to the arguments of *have* and *be* themselves, and the decompositional analysis must posit non-local relations to account for the fact that the arguments of the main verb can have an effect on the behavior of *have* and *be*. The two auxiliaries have similar functions, but the differences in their syntactic behavior make a unified analysis untenable.

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