EXTERNAL NEGATION IN MALAY/INDONESIAN

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Two distinct negation markers compete in Malay/Indonesian verbal clauses. I argue that one (also used to negate nominal predicates) is a marker of ‘external’ (sentential) negation, while the other is a marker of ‘internal’ (predicate) negation. This contrast is demonstrated by striking differences in syntactic distribution and scopal properties. In verbal clauses the marker of predicate negation is the default, while the marker of sentential negation is allowed only in certain pragmatically determined contexts. These contexts include: (i) contrastive sentences, (ii) marked narrow focus, and (iii) metalinguistic negation. External negation in Malay is restricted to ‘root clauses’; I suggest that this is due to its echoic character.*

Keywords: negation, metalinguistic negation, contrastive negation, focus, presupposition, main clause phenomena

1. Negation in verbal clauses. A number of authors, including Gazdar (1979:65–66), Levinson (1983:201), and Horn (1989:366), have stated that no language, so far as we know, lexicalizes the distinction between external and internal negation.1 In this article I argue, on the basis of syntactic distribution and semantic scope, that the contrast is lexicalized in Malay/Indonesian.2 I also present evidence showing that external negation in Malay is a main clause phenomenon in the sense of Green 1976.

We begin with a long-standing descriptive puzzle in Malay grammar concerning the choice of negation marker in verbal clauses. Malay employs two different markers for clausal negation.3 The standard negation marker tidak is used when the predicate is verbal (1a) or adjectival (1b), and with most predicative PPs (1c). The special negation marker bukan is used when the predicate is nominal, as in 1d. In certain kinds of contexts, however, bukan can also be used to negate verbal clauses, as in 1e. The puzzle is, how should these contexts be characterized?4

(1) a. Mereka tidak menolong kami.
   3PL NEG help 1PL.EXCL
   ‘They didn’t help us.’  (Sneddon 1996:195)

b. Saya tidak lapar.
   1SG NEG hungry
   ‘I am not hungry.’  (Sudaryono 1993:88)

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1 Dik (1997:176) is slightly more cautious, observing that ‘most languages do not seem to have SEGMENTAL [including lexical—PRK] means for distinguishing propositional and predicational negation’ (see also n. 7).

2 For the most part, I do not distinguish in this article between Malay and Indonesian, but consider them to be varieties of a single language. I deal primarily with the standard forms, Bahasa Malaysia and Bahasa Indonesia, and I have found few differences between these varieties that are relevant to the issues discussed here.

3 A third marker, jangan, is used primarily in negative commands. It can also be used in certain kinds of subordinate clause; see also n. 59.


137

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c. Penelepon tidak perlu tahu bahwa Anda sedang *tidak* di rumah.5
   ‘Callers do not need to know that you are not at home.’

d. Dia *bukan*/*tidak* guru.
   ‘She isn’t a teacher.’ (Sneddon 1996:195)

e. Dia *tidur,* tetapi ber-baring sahaja.
   ‘He is not sleeping, but only lying down.’ (Asmah 1982:145)

It is important to note that the use of *bukan* in clauses with nominal predicates, like 1d, is absolutely obligatory; this is a purely grammatical requirement. The factors that license the use of *bukan* in verbal clauses, by contrast, seem to be largely pragmatic. In this case we are dealing not with questions of absolute (un)grammaticality, but rather with strong, context-dependent preference. For example, replacing *tidak* with *bukan* in sentence 1a would be highly unnatural in isolation and probably unacceptable to most speakers. But given an appropriate context (e.g. ‘They didn’t help us; on the contrary they hindered our work’), *bukan* would be possible here. I provisionally assume that *bukan* is polysemous; in other words, I assume that the pragmatically restricted use in verbal and adjectival clauses represents a distinct sense from the pragmatically unrestricted use in equative clauses.6 Where it seems helpful I use the label *bukan*$_V$ for the former (verbal negation) sense and *bukan*$_N$ for the latter (nominal/equative) sense.

In this article I argue that *tidak* is a marker of internal (predicate) negation, while *bukan*$_V$ is a marker of external (sentential) negation (Lyons 1977:769, Horn 1989:Ch. 7).7 I present a variety of evidence supporting this claim, including differences in syntactic distribution and semantic scope. I begin in §2 with a review of previous descriptions of the function of *bukan* in verbal clauses. Most of this work identifies *bukan*$_V$ as a marker of contrastive negation. I then identify and illustrate two additional contexts where *bukan*$_V$ is used. *Bukan*$_V$ is strongly favored in cases of metalinguistic negation in the sense of Horn 1985, 1989, as in: *I’m not hungry, I’m starving* (§3), and it is also licensed when a marked narrow-focus (= constituent focus) interpretation is intended (§4). I argue that the use of *bukan*$_V$ in narrow-focus contexts is part of a more general tendency: Indonesian speakers compensate for the lack of focal stress in the language by using syntactic strategies to indicate narrow focus.

Section 5 discusses the syntactic and semantic properties of *tidak*, showing that *tidak* is tightly integrated into the auxiliary system. A tentative phrase structure analysis is proposed that accounts for the observed distributional facts. In §6 I defend the claim that *bukan*$_V$ functions as a sentential operator, based on its syntactic and semantic properties, which are strikingly different from those of *tidak*. I show that the syntactic distribution of *bukan*$_V$ matches a familiar pattern associated crosslinguistically with main clause phenomena, a.k.a. ‘root phenomena’.

A variety of pragmatic explanations have been proposed to explain the distribution of main clause phenomena, most of them involving a requirement for ‘illocutionary independence’ in some sense. Many of these phenomena in various languages have been an-

5 http://expresi.dagdigdug.com
6 For a contrary view, which posits a single sense of *bukan* to cover all of its uses, see Gil 2013:§4.10.
7 In the terminology of Dik (1997:176), we could identify *tidak* as a marker of predication negation (‘objective statement of the non-occurrence of some SoA [State of Affairs]’) and *bukan*$_V$ as a marker of propositional negation (‘subjective denial of some pre-established proposition’).
alyzed as illocutionary modifiers, which do not contribute to the propositional content of the utterance. I argue that this is true for the metalinguistic use of *bukan* as well, but that in its other uses *bukan* does contribute to propositional content. Following a proposal by Haegeman (2006), I suggest that the restriction of external negation to ‘root clauses’ is motivated by the fact that the various uses of *bukan* all involve the negation of echoic material—that is, it is used to contradict what someone else has said or thought (Carston 1996)—and that echoic use is possible only in contexts that have a sufficient degree of ‘illocutionary independence’.

2. Previous descriptions of *bukan* in verbal clauses. Most authors who discuss this issue describe the contexts in which *bukan* is licensed in verbal clauses as ‘contrastive’. Many of them point out that a verbal clause negated with *bukan* seems to express a contradiction of a previous statement or assumption. One of the clearest descriptions comes from Lewis (1947:104–5):

> Tidak negatives the whole statement. It merely denies it, without implying the contradiction of an opposite statement or thought … *Bukan* … implies a contradiction of, or an alternative to, a previous statement, question or thought. It is essentially emphatic.

A similar intuition is expressed by Mashudi (1981:109), who says that ‘*tidak* … merely denies what is following it; *bukan*, which also occurs before a verbal, not only denies what is following but also suggests the opposite’.

Asmah (1982:145) seems to say that *bukan* can only be used to negate verbs or adjectives in coordinate clauses containing a contrasting conjunction such as *tetapi* ‘but’, like sentence 1e. This may in fact be the most common context where *bukan* is used with verbs or adjectives, but it is not the only context. Sneddon (1996:195) notes the same tendency, but also observes that the corrective or contradicting statement need not be made explicit. He says that when *bukan* negates a verb or adjective, ‘it is emphatic, implying that a contradictory statement could follow’, as in 2a. He also points out that contrastive coordination does not necessarily require the use of *bukan*: ‘If emphasis is absent *bukan* can be replaced by *tidak*’ (1996:349). An example is given in 2b, but note that the use of *tidak* in this context seems to be fairly uncommon.

(2) a. Dia *bukan* bodoh(, melainkan malas saja).
    3sg NEG stupid on.the.contrary lazy only
    ‘He’s not stupid (but just lazy).’ (Sneddon 1996:195–96)

b. Kami *tidak* pergi ke Solo, melainkan ke Yogya.
    1pl.EXCL NEG go to Solo on.the.contrary to Yogya
    ‘We didn’t go to Solo but to Yogya [= Jogjakarta].’ (Sneddon 1996:349)

Sudaryono (1993:41–42) describes the use of *bukan* to negate verbal clauses as being ‘context-dependent’ (*terikat konteks*). In isolation, he seems to regard sentences like 3a as being grammatical but highly unnatural. In a context that does not have the relevant pragmatic properties, such as 3b, *bukan* becomes totally unacceptable. Sudaryono describes *tidak*, by contrast, as being ‘context-independent’ (*bebas konteks*), meaning that no special context is required for sentences like 1a to be judged fully acceptable. However, he goes on to show that there are certain syntactic patterns in which the use of *bukan* is obligatory. For example, while either *tidak* or *bukan* can be used in coordinate clauses containing a contrastive conjunction, like 1e and 3c, only *bukan* is possible

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8 Based on the description and examples in Lewis 1947, McCawley (1991:203) identifies Malay *bukan* as a marker of contrastive negation.
when the contrasting clauses are simply juxtaposed (with or without ellipsis), as in 3d–f and 4.

(3) a. *Saya *bukan membeli buku.

\[ \text{1SG NEG buy book} \]

‘I didn’t buy a book.’

(Sudaryono 1993:41)

b. Amir *tidak/*bukan membeli buku, walaupun dia punya uang.

\[ \text{Amir NEG buy book although 3SG have money} \]

‘Amir didn’t buy a book, even though he had the money.’

(Sudaryono 1993:44)

c. Saya *tidak/bukan *membeli buku, tetapi saya membeli pensil.

\[ \text{1SG NEG buy book but 1SG buy pencil} \]

‘I didn’t buy a book, but I bought a pencil.’

(Sudaryono 1993:42)

d. Saya *bukan/*tidak membeli buku, saya membeli pensil.

\[ \text{1SG NEG buy book 1SG buy pencil} \]

‘I didn’t buy a book, I bought a pencil.’

or: ‘I will not buy a book, I will buy a pencil.’

(Sudaryono 1993:44)

e. Saya membeli pensil, *bukan/*tidak membeli buku.

\[ \text{1SG buy pencil NEG buy book} \]

‘I bought a pencil, not a book.’

or: ‘I will buy a pencil, not a book.’

(Sudaryono 1993:44)

f. Dia pergi ke hulu, *bukan/*tidak ke kuala.

\[ \text{3SG go to upstream NEG to river.mouth} \]

‘He went upstream, not to the mouth of the river.’

(adapted from Asmah 1982:145)

(4) Dia *bukan* memanggil nama ibu=nya Suryo, dia menyebut nama laki-laki.9

‘She did not call the name of Suryo’s mother, she said the name of a man.’

3. METALINGUISTIC NEGATION. In example 5 below, the word *bukan* cannot be interpreted as expressing normal, logical negation, since this would make the sentence as a whole contradictory: if a word does not have one meaning, it cannot have more than one. What is being negated here is not the propositional content of the corresponding positive sentence, but rather a generalized conversational implicature (namely that ‘one’ means ‘only one’). In other words, *bukan* in this context seems to be functioning as a pragmatic, rather than a purely semantic, operator. Negation that takes scope over the pragmatic rather than the semantic content of an utterance is often referred to as metalinguistic negation, following Horn 1985, 1989. Metalinguistic negation in Indonesian is almost always marked with *bukan* rather than *tidak*.

(5) ulamak bahasa telah mendapati makna lafaz *istiwak* bukan mempunyai

\[ \text{scholar language prf discover meaning word istiwak NEG have} \]

\[ \text{ satu makna, bahkan lebih}^{10} \]

\[ \text{one meaning on.contrary more} \]

‘scholars of (Arabic) language have determined that the word *istiwak* does not have one meaning, but more.’

3.1. METALINGUISTIC NEGATION IN ENGLISH. Horn (1985, 1989), building on Grice 1975 [1967] and Ducrot 1972, argues that negation can be used in two ways: (i) to deny the truth of a proposition (= ‘descriptive’ or logical negation), and (ii) to deny the

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(felicitous) assertability of an utterance (= metalinguistic negation). Thus metalinguistic negation is an illocutionary operator, modifying the utterance as a whole. Some examples of metalinguistic negation in English are presented in 6.

(6) a. It’s not a car, it’s a Volkswagen. (VW commercial; Horn 1989:362)
b. I am not ‘indisposed’, I’m sick. 
c. I’m not HUNGRY, I’m STARVING.
d. That [1983] wasn’t a BAD year, it was HORRIBLE. (Reggie Jackson; Horn 1989:382)

Horn notes that ‘metalinguistic uses of negation tend to occur in contrastive environments’ (1989:402), as illustrated in 6. They frequently seem to express a contradiction of a previous statement or assumption, whether explicit or implicit. Metalinguistic negation is generally marked by ‘the peculiar intonation indicative of contradiction’ (Jespersen 1964 [1933]:301), often involving focal stress on the specific item that is being rejected or denied, and the negated clause is typically followed by a correction or ‘rectification’. Karttunen and Peters (1979:46–47) make similar observations about the pattern they refer to as ‘contradiction negation’:

Negative sentences of the sort in [6] have a special function in discourse. They contradict something that the addressee has just said, implied, or implicitly accepted. One indication of their role is that they tend to be produced with a distinctive intonation contour (Liberman & Sag 1974). Another characteristic property of this kind of negation is that it does not affect the distribution of polarity items …

In all of the metalinguistic examples in 6, the negative clause and its rectification are joined in an unmarked coordinate structure (parataxis). Metalinguistic negation is also possible under ellipsis (7d). But full clausal coordination with an overt conjunction seems to resist a metalinguistic interpretation, as illustrated in 7b,c. This pattern is reminiscent of the Malay facts presented in 3c–f.

(7) a. John has five children, in fact he has eight.
   b. John doesn’t have FIVE children, (*but) he has EIGHT. (metalinguistic negation)
   c. John doesn’t have FIVE children, but he does have two/*eight. (descriptive negation)
   d. John doesn’t have FIVE children but EIGHT. (metalinguistic negation)

McCawley (1991) claims that Horn (1989) failed to adequately distinguish metalinguistic negation from contrastive negation. He points out that not all contrastive examples, including paratactic and elliptical structures like 7b,d, are metalinguistic; many involve ‘normal’ descriptive/logical negation, as illustrated in 8. One distinctive property of metalinguistic negation is that it often produces a logical contradiction when interpreted descriptively. This is not the case with the examples in 8. Another diagnostic applies specifically to metalinguistic negation involving scalar implicatures, like the examples in 7b,d. In such cases the predicates of the two clauses are often irreversible (using the same intonation), as illustrated in 9. Simple contrastive (nonmetalinguistic) negation does not have this property, as illustrated in 10.

(8) Contrastive negation that is not metalinguistic
   a. John didn’t drink coffee, he drank tea.
   b. I come to bury Caesar, not to praise him.
   c. John drank tea, not coffee.
   d. John drank not coffee but tea. (McCawley 1991:190–91)

11 The distribution of polarity items is discussed in §3.3.
Metalinguistic negation involving scalar implicatures
a. He is not poor, he’s destitute.
b. ??He is not destitute, he’s poor.
   (cf. He is not destitute, but he is poor. (descriptive negation))

Contrastive negation (not metalinguistic)
a. John didn’t drink coffee, he drank tea.
b. John didn’t drink tea, he drank coffee.
c. John doesn’t drive a Ford, he drives a Mazda.
d. John doesn’t drive a Mazda, he drives a Ford.

Horn (1989:379–81) points out several other examples of logical operators that, like negation, have both a descriptive and a metalinguistic usage. The conditional clauses in 11a–c are not part of the propositional content that is being asserted. Rather, they are being used as illocutionary modifiers, providing information about the felicity conditions (e.g. relevance) for the statement that is being made in the main clause. Example 11d, by contrast, involves a descriptive/logical use of the conditional, which is part of the propositional content being asserted. Only this example allows the insertion of then.

There are biscuits on the sideboard if you want them. (Austin 1956)
If you are interested, (*then) there is a good documentary on PBS tonight.
If I may say so, (*then) you are not looking good.
If you fail this course (then) you will not graduate.

Similarly, Sweetser (1990:76–78) discusses three uses of because, illustrated in 12. The reason clause in 12a is part of the propositional content that is being asserted. Those in 12b–c, by contrast, are illocutionary modifiers, providing information about the felicity conditions (evidentiary basis or relevance) for the utterance expressed in the main clause. These constructions illustrate the kind of pragmatic ambiguity that Horn suggests is expressed in metalinguistic negation.

John came back because he loved her. (content domain)
John loved her, because he came back. (epistemic domain)
What are you doing tonight, because there’s a good movie on. (speech act domain)

Metalinguistic negation in Malay/Indonesian. As mentioned above, naturally occurring instances of metalinguistic negation in Malay almost always involve bukan rather than tidak, as illustrated in the following examples. In 13 and 14, replacing bukan with tidak forces a shift from metalinguistic to descriptive negation, resulting in a logical contradiction: a person cannot buy six of something if he does not buy one, and a person cannot grow flowers for a living without planting flowers. Examples 15–17 must also be interpreted as metalinguistic negation, to avoid logical contradiction.

Aku bukan beli satu tau, aku beli 6 buah sekaligus! 
1sg neg buy one know 1sg buy six cls at.once
‘I didn’t buy one, you know, I bought six at one time!’

Aku tidak beli satu, aku beli 6 buah.
(14) a. Dia *bukan* menanam kembang tetapi ber-tanam kembang.

\[3G\text{NEG}\text{ACT:plant}\text{flower}\text{but}\text{MID:plant}\text{flower}\]

‘He doesn’t (just) plant flowers but grows flowers (for a living).’

(Amran Halim 1981:62)

b. #Dia *tidak* menanam kembang tetapi bertanam kembang.

(15) Perdana Menteri *bukan* mempunyai satu atau dua mata tetapi mempunyai beribu-ribu mata...

‘The Prime Minister does not have one or two eyes but has thousands of eyes...’

(16) John 12:44 (TB)

Barangsiapa percaya kepada=Ku, ia *bukan* percaya kepada=Ku, tetapi kepada Dia, yang telah mengutus Aku.

‘Whoever believes in me, he does not believe in me, but in Him that has sent me.’

(17) [arguing for the importance of Malaysia having just one official language, and specifically against official status for Mandarin and Tamil]

Malaysia *bukan* mempunyai tiga bahasa: Melayu, Cina dan India. Di Sarawak terdapat sepuluh lagi bahasa etnik, dan enam puluh bahasa minoriti yang tidak mampu disebutkan disini. Begitu juga di Sabah.

‘Malaysia does not have three languages: Malay, Chinese and Indian. In Sarawak there are ten more ethnic languages, and sixty minority languages which cannot be mentioned/listed here. The same is true in Sabah.’

3.3. Diagnostic properties of metalinguistic negation. Horn (1985, 1989) discusses several diagnostic properties of metalinguistic negation. The two best-known properties are that ‘incorporated’ negation cannot be metalinguistic, and that metalinguistic negation does not license NEGATIVE POLARITY ITEMS (NPIs). Both of these properties are true of *bukan*V. But I do not claim that all uses of *bukan*V involve metalinguistic negation; thus the significance of these results needs to be assessed with some care. However, the patterns themselves are striking.

*Bukan*V CANNOT BE ‘INCORPORATED’. Horn (1985:140–41, 1989:392ff.) states that in English, metalinguistic negation can be expressed with the free adverbial particle *not* or the contracted form *=n’t*, but not with ‘incorporated’ markers of negation like the derivational prefixes *in-* and *un-*.

(18) a. That isn’t POSSIBLE/LIKELY, it is CERTAIN.

b. *That is IMPOSSIBLE/UNLIKELY, it is CERTAIN.*

There is a striking difference in the morphological distribution of the Malay negation markers *tidak* vs. *bukan*. *Tidak* combines productively with verbal and adjectival roots or stems to form compound stems, as illustrated in Table 1. The two roots in these compounds are separated by a space in the standard orthography, but they can be shown to be true compounds (and not phrases) because the compound stem can be nominalized using the circumfix *ke-X-an*, as seen in the third column of Table 1.

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14 The active voice prefix, *meN-*, is glossed here because it is the focus of the contrast. In other examples I have left active voice unglossed, while explicitly marking the passive (*di-*) and middle (*ber-*) prefixes where relevant.

This construction is impossible with *bukan*. There are a few compounds involving *bukan*, but only in its nominal usage (*bukan* logam ‘nonmetal’, *bukan* Melayu ‘non-Malay’). There are no verbal or adjectival compounds involving *bukan*, and so no *ke-X-an* nominalized compounds with *bukan*.16

*Bukan* does not license negative polarity items. Horn (1985:130, 1989:397ff.) states that metalinguistic negation does not license NPIs. As noted above (§3.1), Karttunen and Peters (1979:47) make a similar observation.

In Malay we once again find a striking contrast between *tidak* and *bukan*V, which license NPIs, and *bukan*V, which does not. NPIs such as *sama sekali* ‘at all’, *sedikit* ‘even a little’, *apa-apasai* ‘anything’, and *siapa-siapasai* ‘anyone’ occur freely with *tidak* and other negative words (ex. 19a, 20, 21a). However, they occur with *bukan* only in its nominal (equative) usage, illustrated in 21b and 22.17 (Example 19b is included to demonstrate that the unacceptability of *bukan* in 19a is due to the NPI, not the predicate adjective.)

(19) a. Saya *tidak*/*bukan* marah *sama sekali*.
    1sg neg angry at all
    ‘I am not angry *at all*.’
    (Sudaryono 1993:45–46)

b. Saya *tidak*/*bukan* marah, melainkan hanya berpura-pura marah.
    1sg neg angry
    ‘I am not angry, but rather just pretending to be angry.’
    (Sudaryono 1993:45–46)

(20) a. Pemerintah *tak*/(*bukan*) tergerak *sedikit*.*18
    government neg be.moved even a little
    ‘The government will not be moved *a bit!*’
    (headline)

b. Mereka *belum*/*bukan* berita tua saya *apa-apa* mengenai=nya. . .
    3pl not yet/neg inform 1sg anything concerning=3sg
    ‘They have not yet told me *anything* about it . . .’

16 Note, however, that at least for some speakers, *bukan* itself can be nominalized: *ke-bukan-an* ‘negativity’. There is no obvious way to tell whether this form is derived from *bukanN* or *bukanV*.


18 *Tak* is a short form for *tidak*; *tidak* is used primarily in formal speech or writing, while *tak* is preferred by Malaysian speakers for informal registers.

19 *Belum* ‘not yet’ is equivalent to *tidak + sudah* ‘already’. It licenses NPIs in the same way as *tidak*.
c. Tambahan pula, ada kala=nya orang yang mendapat keputusan positif untuk RF tidak/*bukan mendapat penyakit tersebut sama sekali.

‘Furthermore, there are times when a person who has a positive test result for [rheumatoid factor] RF does not have that disease [rheumatoid arthritis] at all.’

(21) a. walaupun sahabat membuka banyak negara baru melalui jalan peperangan, tidak sama sekali mereka merobohkan mana-mana gereja.

‘Even though the friends (of Mohammed) opened many new nations by means of warfare, they did not at all destroy any churches.’

b. Jelasnya, tindakan sebegitu bukan sama sekali arahan Islam …

‘Clearly this kind of action is not at all a directive of Islam …’

(22) a. Aku sama sekali bukan siapa-siapa …

1sg at.all neg anyone

‘I am not anyone at all …’

b. Tanpa Rooney, MU Bukan Apa-apa

without Rooney MU neg anything

‘Without Rooney, MU [Manchester United] is not anything at all.’

INTERPRETING THE DIAGNOSTICS. Both of these tests suggest that *bukan* has properties associated with metalinguistic negation. However, I have rejected the claim that *bukan* is always a marker of metalinguistic negation. If I am correct, then it is not metalinguistic negation per se that prevents incorporation and licensing of NPIs in Malay, but some other factor(s) correlated with metalinguistic negation. (These tests themselves have been a topic of some controversy, even for English. Various authors, including Burton-Roberts (1989), Seuren (1990), Chapman (1996), and Iwata (1998), have noted apparent counterexamples to Horn’s generalizations. However, Pitts (2011) presents a more positive assessment.)

One plausible hypothesis is that the relevant factor is the contrast between external (sentential) and internal (predicate) negation. Another factor that could be relevant is focus structure. Even in English, focus seems to affect the acceptability of NPIs. The unacceptable sentence in 23a becomes acceptable in a context where both the presupposition trigger (manage) and the NPI (any) get focal stress, as in 23b.20 Possibly the focal stress on manage is necessary in order for the negation to be interpreted metalinguistically, as negating the presupposition associated with manage. In §4 we examine the interaction of focus with negation in Malay in more detail.

(23) a. *Chris didn’t manage to solve any of the problems—he managed to solve all of them. (Horn 1989:374)

b. A: How many of those problems did you manage to solve?

B: I didn’t manage to solve any of the problems, I solved them all easily.

3.4. PRESUPPOSITION-CANCELING NEGATION. As noted in §1, a number of authors have stated that no known language lexicalizes the distinction between external and internal negation. This observation is generally made in discussing the supposed ambiguity of negation in contexts like Russell’s famous example: *The King of France is not bald*. External negation is identified with the ‘presupposition-canceling’ reading in

20 Iwata (1998:56–58) also suggests that focus is one of the conditioning factors that determine the acceptability of NPIs in such examples.
which this sentence is true (‘It is not the case that the King of France is bald, because there is no King of France’), while internal negation is identified with the reading in which this sentence lacks a truth value because the subject NP fails to refer (‘The King of France is unbald, i.e. has hair’).

If I am correct that Malay/Indonesian does lexicalize this distinction, we would expect the external negation marker to be the one used for presupposition-canceling negation. Horn (1989:489) states that felicitous presupposition-canceling negation is typically metalinguistic in nature; thus the fact that *bukan*/ should be preferred for presupposition-canceling negation as well. Preliminary investigation suggests, however, that it is *tidak* rather than *bukan* that is preferred for presupposition-canceling negation. This finding, which needs to be confirmed by additional research, suggests that at least some cases of presupposition-canceling negation are distinct from metalinguistic negation.

As far as I can tell, no prior work has been done on presupposition in Malay/Indonesian. For this reason, there is no empirically verified inventory of presupposition triggers to work with, and no prior knowledge of how various potential triggers actually behave. In attempting to provide at least a glimpse of how presupposition-canceling negation might work in this language, I selected one aspectual predicate (*berhenti* ‘stop’) and one factive verb (*menyesal* ‘regret’) and simply assumed that these verbs trigger essentially the same kinds of presuppositions as their English equivalents. Obviously this procedure is not ideal, and the results must be viewed as highly tentative; however, it may not be totally unreasonable as a way to get started. Levinson and Annamalai (1992) have argued that many presuppositions are semantically motivated and thus are preserved in translation from one language to another (specifically from English to Tamil).

The statement in the main clause of 24a, ‘He has not stopped smoking’, is expected to trigger the presupposition that ‘he used to smoke’. The statement in the main clause of 24b, ‘Irwan does not regret becoming the Governor of Sumbar’, is expected to trigger the presupposition that ‘Irwan became the Governor of Sumbar’. Since the second clause of each sentence explicitly denies these presuppositions, the sentences are expected to be acceptable only if the negator is one that can be used for presupposition-canceling negation.

These sentences were checked with educated speakers of Indonesian (most were linguists or linguistics students, while one was a nonlinguist). Of the seven speakers who provided judgments for sentence 24a, two speakers expressed a strong preference for *tidak* over *bukan*, four expressed a weak preference for *tidak* over *bukan*, and one found both options unacceptable. Of the six speakers who provided judgments for sentence 24b, two speakers expressed a strong preference for *tidak* over *bukan*, two expressed a weak preference for *tidak* over *bukan*, one found both options marginal, and one expressed a weak preference for *bukan* over *tidak*.

(24) a. Dia *tidak*/*bukan* berhenti merokok, karena memang tidak pernah 3SG NEG stop smoke because indeed NEG ever

merokok.

smoke

‘He has not stopped smoking, because in fact he has never smoked.’

21 This seems to be true for most other languages as well. Von Fintel and Matthewson (2008:179) note that ‘the literature devoted to presupposition concentrates almost exclusively on English’. Recent exceptions to this generalization include Matthewson 2006 and Tonhauser et al. 2013.

22 Several of the speakers seemed to find it difficult at first to process these sentences with either negator. Attempts to elicit ‘King of France’-type examples proved even more confusing.
b. Irwan tidak/bukan menyesal menjadi Gubernur Sumbar,
(name) neg regret become Governor Sumbar
‘Irwan does not regret becoming the Governor of Sumbar’
karena sebenarnyadia belum pernah menjadi gubernur.
‘because he has actually never been the Governor of Sumbar.’

Overall, there seems to be a clear preference for tidak over bukan in this context, an unexpected result in light of Horn’s analysis. One of the speakers who expressed a clear preference for tidak over bukan in both examples volunteered that these sentences sound like jokes, and proceeded to restate 24 as a joke, as shown in 25. The dialogue in 25 contains particles and shortened forms that mark it as colloquial Indonesian. Notice that the negator chosen was tidak rather than bukan. When I showed this dialogue to other Indonesian speakers, they agreed that it sounds quite natural as a joke, and that tidak is the appropriate choice.

(25) [conversation between two friends]
Me: Irwan tidak nyesal jadi gubernur …
‘Irwan does not regret becoming the governor …’
Friend: WHAT??
Me: lah dia kan belum pernah jadi gubernur.
‘because he has never been the governor.’
Friend: hahaha …

Horn (1985, 1989) has argued that presupposition-canceling negation is normally metalinguistic in nature. We have seen that bukan is used for metalinguistic negation, but (based on the limited evidence available) appears to be dispreferred for presupposition-canceling negation. This seems to suggest that at least some cases of presupposition-canceling negation are distinct from metalinguistic negation. Clearly more research is needed before any firm conclusions can be drawn.

4. Focus in Malay/Indonesian.

4.1. Negation with narrow focus. Lewis (1947) seems to recognize an association between the use of bukan and a restricted focus of negation (‘bukan generally negatives one word only’). A similar hint is seen from Dreyfuss (1979), who, as part of an effort to identify characteristic properties of nouns in Indonesian, discusses briefly the choice between tidak and bukan in verbal clauses. He says:

A.L. Becker (p.c.) prefers to think of the difference between tidak and bukan as tidak is used for ‘syntagmatic’ negation and bukan for ‘paradigmatic’ negation. This seems to me to be right. When bukan is used, there is the feeling that only one from a list of possibilities is being negated; with tidak there is only the simple fact of something (that which follows tidak) being negated. (Dreyfuss 1979:9, n. 9)

The intuition that bukan negates ‘only one from a list of possibilities’ is strikingly similar to the alternative semantics analysis of focus (Rooth 1996), which (roughly speaking) treats focus as an indication that only one choice from a list of possible alternatives can result in a true proposition. The suggestion that the choice of bukan over tidak might be related to focus is supported by a fact that, to my knowledge, has remained unnoticed in all previous discussions of this issue. It is well known that ‘contrastive’ contexts like those illustrated in 1e, 2a, and 3c–f license the choice of bukan. The new observation is that narrow (= constituent) focus appears to provide another context in which bukan can be used, even where there is no contrast stated or implied.

Some examples are provided in 26–28. In 26 the woman is clearly crying about something; the focus of the negated statement is kamar ‘bedroom’, meaning that that is not what she is crying for. In 27 the pop singer clearly wants to win some prizes, or she would not be entering all those contests; the focus of negation is on the word semua
all’. In 28 the focus of negation is the second-person pronoun in the object position, since when the King of Egypt goes out to wage war, it is presupposed that he wages war on somebody. In 29 the focus of negation is on the subordinate adverbial clause; Tengku Razaleigh is not denying that he attended a meeting of the opposition party, only rejecting one possible reason for his action.23

(26) [Context: Biafra civil war, Nigeria, 1967–1970; Prof. Odenigbo is building a rough shelter for himself and his mistress, Olanna; Olanna has just burst into tears.]

‘Kita akan segera mendapatkan tempat yang lebih baik,’ kata Odenigbo.
Olanna mengangguk dan tidak mengatakan kepada Odenigbo bahwa
‘“We will soon get a better place,” said Odenigbo. Olanna nodded and did not say to Odenigbo that’
dia bukan menangis-i kamar mereka.24
3SG NEG weep-APPL room 3PL
‘she was not crying for their bedroom.’

(27) Siti bukan kaut semua anugerah!
Siti NEG want grab all award
‘Siti does not want to grab/collect all the awards!’

(headline re Malaysian Pop singer)
Siti bukan tamak atau mahu mengaut [= meraup] semua anugerah …
‘It is not the case that Siti is greedy or wants to collect all the awards …’

(28) 2 Chronicles 35:21a (BIS)25
Perang ini bukan menyangkut engkau, raja Yehuda!
war this NEG involve 2SG king Judah
‘This war does not concern you, King of Judah!’

(29) [Tengku Razaleigh Hamzah, quoted in The Malaysian Insider, April 15, 2010]
Saya bukan hadir perhimpunan di Kelantan itu kerana saya hendak sokong PAS …
‘I did not attend the meeting in Kelantan because I want to support PAS …’
Tidak … Saya ahli UMNO.
‘No … I am an UMNO member.’

It is not terribly surprising that this pattern has not been previously reported. Relevant examples are not easy to find, for at least two reasons. First, narrow focus often occurs (and is easiest to identify) in contrastive contexts, making it difficult to separate the effect of focus per se from the widely recognized effect of contrast. Second, as discussed below, clefts are strongly preferred as a way of expressing narrow focus; and because clefts are structurally equative clauses, they can only be negated with bukan\textsubscript{N}, never with tidak (Kroeger 2009). The examples provided in 26–28 are fully natural but relatively uncommon, because they retain basic (nonclefted) clause structure and word order, yet clearly involve focus on a single constituent.

The pattern described here is consistent with Lambrecht’s 1994 theory of focus structure. Lambrecht states that ‘predicate focus’, in which only the topic is presupposed, is uni-


versally the unmarked focus structure. In the typical case this means that the focus domain corresponds to VP, because subjects typically function as topics. Any other focus structure, including ‘sentence focus’ (in which nothing is presupposed) or ‘argument focus’ (= narrow/constituent focus), represents a marked choice. *Tidak*, the unmarked/default negator for verbal clauses, is used when the focus of negation corresponds to VP. *Bukan*, as a sentence operator, is used when there is marked or contrastive focus over the entire sentence. It is also strongly preferred where a marked narrow-focus interpretation is required.

4.2. Expressing narrow focus in Malay/Indonesian. The use of the sentential negation marker *bukan* in contexts requiring a narrow-focus interpretation is consistent with a broader tendency in the language to prefer syntactic over purely intonational marking for narrow focus. The comparatively high frequency of clefts, focus fronting, and so on seems to be related to a phonological fact: it appears that most varieties of Malay and Indonesian do not have focal stress, and so an in-situ constituent cannot be marked for narrow focus using intonation alone. The result is that Malay/Indonesian exhibits a strong preference for using some kind of overt syntactic marking for narrow focus, even in contexts where no such marking would be required in languages like English or Dutch.

A number of recent studies have argued that most varieties of Malay and Indonesian do not have word-level stress, but only boundary tones (or ‘accents’) at the boundaries of intonational phrases.\(^{26}\) A correlate of this claim is that intonation alone (focal stress) is not used to mark narrow focus.\(^{27}\)

Word stress information was not used by our Indonesian listeners to differentiate between words. Our results indicate that stress is communicatively irrelevant and essentially free in Indonesian. (van Zanten & van Heuven 1998:142)

In unequivocal stress languages [like English and Dutch—PRK], the phrase-level accent phenomenon is tied to the word-level phenomenon of stress because an accent always aligns to the stressed syllable of the phrasal head … In languages like Indonesian, focus cannot be used to contrast between non-phrase final words … (Goedemans & van Zanten 2007:57, 58)

Whether or not this analysis is correct, there are a number of indications that an in-situ constituent cannot normally be marked for narrow focus in Indonesian using intonation alone. For example, there are contexts where clefts or focus fronting must be used to convey focus interpretations that would be indicated with intonation alone in languages like English. I present here several examples of such ‘overuse’ of the cleft construction.

**Multiple foci.** In English and many other languages, contrastive multiple focus can be expressed using normal word order with marked intonation, as in 30a. This does not seem to be the case for Indonesian; a cleft is normally used in these contexts, as illustrated in 30b. (A clefted constituent in Malay is obligatorily followed by the relativizer *yang*, which introduces a headless relative clause.\(^{28}\) In addition, the clefted constituent may optionally host the focus clitic = *lah*.)

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\(^{26}\) In addition to the two works quoted immediately below, see also Tadmor 1999, Zuraidah et al. 2008, and other works cited in these sources.

\(^{27}\) A number of prescriptive grammars make the contrary claim, namely that focal stress can be used contrastively on in-situ constituents; see, for example, Sudaryono 1993:73–74, Hasan Alwi et al. 1998:383, Abdul Chaer 2009:214. However, these claims do not seem to be reflected in either spoken or written varieties of Malay or Indonesian.

\(^{28}\) It would be more accurate to label this construction a ‘pseudocleft’, but for simplicity, I simply refer to these sentences as ‘clefts’. See discussion and further references in Cole et al. 1999, Paul 2001, Potsdam 2006, and Kroeger 2009.
(30) a. English

Why are you apologizing to me? I should be apologizing to you!

b. Indonesian

Saya yang seharusnya minta ma’af kepada kamu, bukan kamu kepada saya.

‘I am the one who should apologize to you, not you to me.’

Bible translation examples like those in 31–32 provide striking evidence for the strength of this preference, because both the context and the content of the Indonesian and English versions are intended to be as similar as possible. Even a relatively literal translation like the Terjemahan Baru, which normally follows the syntax of the source text fairly closely, often introduces a cleft in this context, as illustrated in the first clause of example 32.

(31) Isaiah 10:15 (BIS)

Bukan pentung yang mengangkat orang, melainkan orang=mah yang mengangkat pentung.

lit. ‘It is not the club that lifts the person, it is the person that lifts the club.’

English Good News Bible: ‘A club doesn’t lift up a person; a person lifts up a club.’

(32) 2 Samuel 12:23 (TB)

Aku yang akan pergi kepada=nya, tetapi ia tidak akan kembali kepada=ku.

lit. ‘I am the one that will go to him, but he will not return to me.’ (King David mourning for his infant son.)

English RSV: ‘I shall go to him, but he will not return to me.’

Focus-sensitive adverbs. It is well known that adverbs like even, only, and so on are ‘focus-sensitive’ in a number of languages, meaning that they are interpreted as taking scope over the focused part of the sentence. In English, a shift in focal stress can significantly change the interpretation of a sentence containing one of these forms, as illustrated in 33. In the absence of focal stress, such examples are often ambiguous, but the ambiguity can be removed by adjoining the adverb to the constituent that it is intended to modify, as illustrated in 34.

(33) a. David only wears a bow tiewhen teaching.

b. David only wears a bow tie when teaching. (Beaver & Clark 2008)

(34) a. David wears a bow tie only when teaching.

b. David wears only a bow tie when teaching.

It appears that focal stress cannot play the same role in Indonesian. The exhaustive ‘focusing adjuncts’ or ‘limiters’ (Sneddon 1996) cuma (low register) and hanya (high register) both mean ‘only’. Like their English equivalent, they may occur either preverbally, as in 35a and 36a, or within the constituent that they are intended to modify. In the preverbal position they may take scope either over the VP as a whole or over just the object NP. For example, 35a would be an appropriate answer to either: ‘What did they do at the nightclub last night?’ or: ‘What did they drink at the nightclub last night?’ Dalrymple and Mofu (2009) point out that when these ‘limiters’ occur within an NP,
and so take narrow scope over that NP, the NP cannot remain in situ, as shown in 35b and 36b,d. The NP containing ‘only’ must be clefted, as in 35c,d and 36c,e. Once again we see a requirement of marked syntactic structure to accommodate a marked narrow-focus interpretation.

(35) Exhaustive focus with *cuma* (Dalrymple & Mofu 2009)

a. *Mereka cuma minum teh.*
3PL only drink tea
‘They only drank tea.’

b. *Mereka minum *cuma* teh.*
3PL drink only tea
intended: ‘They drank only tea.’

c. *Cuma teh yang mereka minum.*
only tea REL 3PL drink
‘It was only tea that they drank.’

d. *Cuma mereka yang minum teh.*
only 3PL REL drink tea
‘It was only them who drank tea.’

(36) Exhaustive focus with *hanya* (Dalrymple & Mofu 2009)

a. *Kemarin saya hanya makan nasi.*
yesterday 1SG only eat rice
‘Yesterday I only ate rice.’

b. *Kemarin saya makan *hanya* nasi.*
yesterday 1SG eat only rice
intended: ‘Yesterday I ate only rice.’

c. *Hanya nasi yang kemarin saya makan.*
only rice REL yesterday 1SG eat
‘It was only rice that I ate yesterday.’

d. *Kemarin *hanya saya* makan nasi.*
yesterday only 1SG eat rice
intended: ‘Yesterday only I ate rice.’

e. *Kemarin *hanya saya* yang makan nasi.*
yesterday only 1SG REL eat rice
‘Yesterday it was only me who ate rice.’

Some textual examples are presented in 37, translation examples in 38–39.

(37) a. *Hanya aku=lah yang kau=milik-i di dunia ini …*
only 1SG=FOC REL 2SG=possess-APPL in world this
‘It is only me that you have in this world … ’ (i.e. ‘You have only me in this world … ’)

b. *cuma kamu yang dia percaya …*
only 2SG REL 3SG believe
‘it is only you that he trusts … ’ (i.e. ‘He trusts only you … ’)

(38) 2 Samuel 17:2 (BIS)

_Hanya Raja Daud saja_ yang akan ku=bunuh.

lit. ‘It is only King David that I will kill.’

Good News: ‘I will kill _only the king_ …’

(39) Judges 6:37 (BIS)

_Kalau besok hanya bulu domba ini saja_ yang di-basahi embun …

lit. ‘If tomorrow it is only this fleece that has been wet by the dew …’

(Gideon asking for a sign from Heaven)

Good News: ‘If in the morning there is dew _only on the wool_ but not on the ground, then I will know that you are going to use me …’

**Situational presupposition.** Focus is often defined as that part of the sentence that is not presupposed (Jackendoff 1972, Lambrecht 1994:213). The presuppositions that determine focus structure can arise from various sources. Linguistic presuppositions are triggered by specific words or constructions. For example, the indirect question in the second clause of 40, ‘we do not know who did it’, presupposes that someone did it (i.e. killed this person). There is no linguistic presupposition trigger in the first clause, but the context itself creates a presupposition. The passage concerns how to deal with a dead body, apparently of a murder victim, that is found in open country. The elders of the nearest town are instructed to bring the body into their town and publicly declare their innocence, using the formula translated in 40. The context therefore involves the assumption that someone has killed the person under discussion. Against this ‘situational presupposition’, the focus (nonpresupposed information) is the identity of the killer, hence the narrow-focus reading of the first clause, expressed in Indonesian using a cleft.

(40) Deuteronomy 21:7 (BIS)

_Bukan kami yang membunuh orang itu,

neg 1PL.EXCL REL kill person that

‘It was not us who killed that person’

dan kami tidak tahu siapa yang melakukan=nya.

‘and we do not know who did it.’

Good News: ‘We did not murder this one, and we do not know who did it.’

Similar examples are seen in 41, in which the existence of the pot implies the existence of a potter, and 42, in which the existence of wells and orchards implies the existence of diggers and planters. In each case it is the identity of the agent that is in focus, and in each case Indonesian requires a cleft where the English equivalent does not.

(41) Isaiah 29:16 (BIS)

_Mungkin=kah yang dibuat berkata kepada pembuat=nya,

‘Is it possible for the thing which is made to say to its maker’

_Bukan engkau yang membuat aku’?

NEG 2SG REL make 1SG

‘_It was not you that made me’?’

Good News: ‘Which is more important, the potter or the clay? Can something you have made say, ‘You didn’t make me’?’

(cf. RSV: ‘Shall the potter be regarded as the clay; that the thing made should say of its maker, “He did not make me”?’)
(42) Deuteronomy 6:11 (BIS)
Kamu akan menemukan sumur-sumur, dan **bukan kamu yang menggali=nya**. Kamu akan mendapat kebun-kebun anggur dan zaitun, dan **bukan kamu yang menanam=nya**.

lit. ‘You will find wells, and **it was not you that dug them**. You will acquire grape and olive groves, and **it was not you that planted them**.’
Good News: ‘… and there will be wells **that you did not dig**, and vineyards and olive orchards **that you did not plant** …’

5. THE SYNTAX OF STANDARD NEGATION. I have suggested that the difference in pragmatic function between **tidak** and **bukan** correlates with a difference in syntactic category (internal vs. external negation). To some Malay specialists, this may seem like a surprising and perhaps unwarranted assumption. Many authors seem to implicitly assume that **tidak** and **bukan** are elements of the same basic type. However, when we examine the syntactic and semantic properties of these two forms, the evidence supporting a difference in category is overwhelming. The facts discussed in this section and the next support the view that **tidak** occupies a position within the predicate, whereas **bukan** occupies a position at or near the periphery of the sentence and takes scope over the entire sentence. As a first approximation, we might say that **tidak** behaves like a kind of auxiliary, while **bukan** behaves like a sentence adverb.

5.1. **Tidak** as predicate negation. Typological studies of negation (e.g. Dahl 1979, 2010, Payne 1985) have found that in most languages, standard negation is marked on the verb or verb phrase, most often by an affix on the verb, a negative auxiliary, or a particle associated with the VP.³¹ Dahl (1979:92) notes that the negation marker tends to occur ‘as close to the FE [= finite element, i.e. tensed V or Aux] as possible’. In this respect, **tidak** is a typical standard negation marker, being closely integrated into the auxiliary system of the language.

For example, **tidak** may either precede or follow modals and certain other auxiliaries, with scope relations depending on the relative word order. In contrast, **bukan** (in verbal clauses) always precedes and takes scope over all auxiliaries, as expected for a sentence operator.

(43) a. Saya **tidak** harus makan.
   1sg NEG must eat
   ‘I am not required/obligated to eat.’ (Sudaryono 1993:76)

b. Saya harus **tidak** makan.
   1sg must NEG eat
   ‘I must not eat.’ (Sudaryono 1993:77)

(44) a. Dia **tidak** boleh hadir.
   3sg NEG may present
   ‘He is not allowed to be present.’ (Sneddon 1996:201)

b. Dia boleh **tidak** hadir.
   3sg may NEG present
   ‘He is allowed not to be present.’ (Sneddon 1996:201)

³¹ Exceptions to this generalization include Squamish (Dahl 1979:81) and Tongan (Payne 1985:208–9). In both of these cases, standard clausal negation actually involves a biclausal structure in which a negative matrix verb takes the negated clause as its complement.
(45) a. Saya tidak pernah marah.
   1sg neg ever angry
   ‘I am never/have never been angry.’ (Sudaryono 1993:156)

b. Saya pernah tidak marah.
   1sg ever neg angry
   ‘I have (at least once) been not angry.’ (Sudaryono 1993:156)

(46) a. Saya bukan harus makan, tetapi …
   1sg neg must eat but
   ‘I am not required to eat, but …’

b. *Saya harus bukan makan …
   1sg must neg eat

(47) a. Menurut rencana, masjid ini akan cuma jadi tempat shalat saja …
   ‘According to the plan, this mosque will not just become merely a
   place for daily prayers …’ [akan = future tense]

b. *… akan bukan + V …

Another indication that tidak is an integral part of the predicate phrase comes from
the fact that the sequence tidak + V/Aux may be fronted as a unit. In yes-no questions,
for example, the first word of the predicate is often fronted and marked with the interro-
gative focus particle =kah. In negative questions, either tidak can be fronted alone
(48a) or the sequence Neg + V/Aux may be fronted as a unit (48b,c); further examples
are presented in 59 below. The latter pattern suggests that tidak can form a close con-
stituent with a following verb or auxiliary. This pattern is impossible with bukan. Bukan
V can occur alone in the focus position (49a), but never forms a unit with a fol-
lowing predicate (49b).

(48) a. Tidak =kah engkau lapar?
   NEG =q 2sg hungry
   ‘Aren’t you hungry?’ (Sudaryono 1993:96)

b. Tidak lapar =kah engkau?
   NEG hungry =q 2sg
   ‘Aren’t you hungry?’ (Sudaryono 1993:96)

c. Tidak boleh =kah aku mengharapkan sesuatu?
   NEG able =q 1sg hope for something
   ‘Can’t I hope for something?’ (http://sekolah.org/showthread.php?t=1780)

(49) a. Bukan =kah engkau lapar?
   NEG =q 2sg hungry
   ‘Isn’t it the case that you are hungry?’ (Sudaryono 1993:96)

b. *Bukan lapar =kah engkau?
   NEG hungry =q 2sg
   (Sudaryono 1993:96)

Tidak can also undergo fronting in declarative sentences, but only when it is fronted to-
gether with a following auxiliary, as illustrated in 50, taken from Hasan Alwi et al.
1998:382. As discussed in §5.3, these examples provide further evidence that tidak
forms a tight constituent with a following auxiliary.

32 http://themimbar.blogspot.com/2008_06_01_archive.html
33 This pattern does not occur with transitive verbs if the object NP is overt; see Sneddon 1996:320–21 for
   additional details.
34 Whether this is a type of focus fronting or just some kind of scrambling remains to be investigated.
A similar pattern is possible with the nominal negator *bukan*, as illustrated in (51), but no construction analogous to (51b) is possible with *bukan*.

(51) a. *Dia *bukan guru.*  

3sg neg teacher  

‘She isn’t a teacher.’ (Sneddon 1996:195)

b. *Bukan guru *dia.*

3sg neg teacher 3sg  

‘She isn’t a teacher.’ (with focus on ‘teacher’)

Further support for the analysis proposed here comes from double negation. Whenever *tidak* and *bukan* cooccur in the same clause, *bukan* always precedes and takes scope over *tidak*; the reverse order is impossible (Sudaryono 1993:203). This is, of course, the ordering we would expect if *tidak* forms a part of the predicate, while *bukan* is a sentential operator.35

(52) a. *Sebenarnya dia *bukan tidak mampu, melainkan tidak mau.*

true 3sg neg neg able on.contrary neg want  

‘Actually it is not the case that he cannot (do it), rather he does not want to.’ (Sudaryono 1993:203)

b. *… tidak bukan …*

(53) a. *Kata=nya, bukan dia tidak suka ber-kawan tetapi …*

say=3sg neg 3sg neg like MID-friend but  

‘He said, it is not the case that he does not want to make/have friends, but …’

b. *Bukan tidak ada lelaki atau para suami yang datang mengadu dan meminta nasihat, tetapi bilangan mereka amat terhad.*

‘It is not the case that there are not men or husbands who come to complain and ask for advice, but the number of these is very limited.’

c. *Kesetiaan kaum tani Melayu tradisional terhadap Raja dan Sultan bukan tidak bersebab …*

‘The loyalty of traditional Malay farmers to King and Sultan is not without reason …’

Pasaribu (2012) points out that double negation is also possible in imperative clauses, but only with *tidak*, never with *bukan*. Specifically, *tidak* can occur in the complement to the negative imperative *jangan* ‘do not!’, as seen in the following example, but *bukan* never occurs in this environment.

(54) *Kamu jangan *tidak/*bukan datang ke pesta=ku.*

2sg do.not neg come to party=1sg  

‘Do not fail to come to my party.’ (Pasaribu 2012)

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Bukan\textsubscript{V} never occurs twice within the same clause, but \textit{tidak} can. In order for this to happen, however, the two instances of \textit{tidak} must be separated by an auxiliary, as illustrated in (55). Sentences like 56a,b, where two instances of \textit{tidak} occur adjacent to each other, are impossible. We return to this fact in the discussion below.

\begin{itemize}
\item[(55)] a. Aminah \textit{tidak} pernah \textit{tidak} datang.
\hspace{1cm} \textit{Aminah has never failed to arrive.}\hspace{1cm} (Gan 1991:67)
\item b. Bahasa \textit{Indonesia} \textit{tidak} dapat \textit{tidak} terkena hukum perubahan.
\hspace{1cm} \textit{The Indonesian language cannot avoid being subject to the laws of change.}\hspace{1cm} (Sneddon 1996:202)
\end{itemize}

\begin{itemize}
\item[(56)] a. *Kamu \textit{tidak} \textit{tidak} bisa datang.
\hspace{1cm} \textit{you are unable to attend.}\hspace{1cm} (Dalrymple & Mofu 2009)
\item b. *Saya \textit{tidak} \textit{tidak} gembira.
\hspace{1cm} \textit{I am not unhappy.} (possible for: \textit{I am very unhappy.})
\end{itemize}

In the remainder of this section I offer a tentative proposal concerning the structural relations of \textit{tidak} to the rest of the clause, addressing the position of \textit{bukan\textsubscript{V}} in the following section. I begin with a preliminary sketch of the auxiliary system, which is important for understanding the distribution of \textit{tidak}. The reader should bear in mind that the Malay auxiliary system is not well understood, however, and future progress on this topic will almost certainly require some revision to the structural analysis suggested here for negation. I then consider two hypotheses about the distribution of \textit{tidak}: (i) \textit{tidak} functions as the head of Neg\textsubscript{P}, or (ii) \textit{tidak} adjoins to the following Aux or V. I argue that neither hypothesis accounts for all of the facts, but a combination of the two, in the spirit of Zanuttini 1997, seems quite promising.

### 5.2. The Malay auxiliaries

Many authors (including Nik Safiah (1978), Mashudi (1981), Asmah (1982), Gan (1991), and Imran Ho (1993)) have identified two distinct classes of auxiliaries in Malay, aspectuals vs. modals. As noted by Honegger (2003), however, there is little consensus as to which words belong to which class. This is due in part to a mismatch between semantic class and syntactic behavior. For example, several authors have suggested that certain words with temporal meanings behave grammatically like modals (e.g. \textit{masih} ‘still’, \textit{pernah} ‘ever’). Some words that are often listed as modals may be better analyzed as control or raising predicates. \textit{Sudah} ‘already’, perhaps the most commonly used auxiliary-like word, might be best analyzed as polysemous between an auxiliary (modal?) and an adverbial usage.

In order to make some progress with the main concerns of this article, I try to identify a few core members of each class, based strictly on syntactic behavior, and use those as a basis for studying the positions of the negation markers. I leave the more problematic auxiliaries for future research.

Auxiliaries are distinguished from main verbs and adjectives because they cannot function on their own as clause predicates (Nik Safiah 1978:71) and because when used as auxiliaries they must occur as bare roots, with no affixation. Auxiliaries are distinguished from adverbs because they always precede the main verb.

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36 There seem to be some significant differences between Malay and Indonesian with respect to their auxiliary systems. I focus here on the Malay auxiliaries, but I believe that my proposals about the structural positions for the negative markers work for Indonesian as well.
Core members of the aspectual class include *telah* ‘perfect(?),
37 *sedang* ‘continuous’, *tengah* ‘progressive’, and perhaps
*iakan* ‘future’ (though *iakan* displays some
mixed properties, as noted by Imran Ho (1993)). Core members of the modal class
include *mesti* ‘must’, *boleh* ‘may; can (Mal.)’, and *harus* ‘must (Indon.), ought (Mal.).’
Aspectuals are distinguished from modals by the following properties.

(i) Many modal roots can take derivational affixation to become main verbs,
while this is never possible with aspectuals (Nik Safiah 1978:76, Imran Ho
1993:21).
(ii) Modals can host the declarative (=*lah*) and interrogative (=*kah*) focus partic-
les, while this is never possible with aspectuals (cf. Gan 1991:59, 67). 38
(iii) Modals can function as a minimal answer to a yes-no question, while aspec-
tuals cannot (Gan 1991:71).
(iv) Modals can occur either before or after the subject, while aspectuals always
follow the subject (Imran Ho 1993:21).39
(v) *Tidak* cannot immediately precede aspectuals (Gan 1991:65).40

Point (iv) implies that, at least in Bahasa Malaysia, aspectuals always occur between
the main verb and the subject. This fact can be neatly captured by adopting the standard
assumption that aspectuals occupy the I0 position, as head of IP, while the subject occu-
pies the [Spec,IP] position. To account for the variable position of modals, I tentatively
assume that they can occupy either the I0 position (like aspectuals) or a higher position
outside of IP (perhaps adjoined to IP, as suggested below).

It is possible for more than one auxiliary to occur within the same clause. The con-
straints as to which specific pairs of auxiliaries can cooccur, and in which order, are
quite complex. Nik Safiah (1978:86) states that the underlying order is aspectuals be-
fore modals, but she posits an optional transformational rule that can reverse this order-
ing for specific combinations.41 She also notes that two aspectuals or two modals can
cooccur within the same clause.

Similarly, Dardjowidjojo (1978:321) proposes the template in 57 for the Indonesian
auxiliaries. However, he notes that two members of the same class can cooccur, and that
certain permutations across classes are also possible.

(57) CLAS S 1   CLASS 2   CLASS 3
aspectuals   *harus*   other modals

Pending a clearer understanding of these constraints, I for now simply assume that all
auxiliaries that occur after the subject are dominated by I0.

5.3. THE POSITION OF *tidak*. Pollock (1989), Laka (1990), and a number of subse-
quent authors have proposed that in many languages, the marker of standard clausal
negation is the head of a phrasal category, often referred to as NegP. At first glance, it is
hard to see how this could be true in Malay. Examples like those in 55, one of which is

37 There is no consensus as to the semantic content of *telah*. I tentatively identify it here as a marker of
perfect aspect.
38 *Iakan* ‘future’ can undergo interrogative focus fronting and host the interrogative focus particle =*kah*,
pace Nik Safiah 1978:83, but it cannot host the declarative focus particle =*lah*.
39 This generalization seems to hold for Bahasa Malaysia, but as mentioned below, in Bahasa Indonesia it
seems that aspectuals can also occur before the subject.
40 This constraint holds for core members of the class of aspectuals (plus *sudah*) in Bahasa Malaysia; in
Bahasa Indonesia, it may apply primarily to *telah* and *sudah*.
41 For some combinations, such as *sedang* + *mesti*, the proposed ‘basic’ order seems to be extremely rare,
while the ‘derived’ order (mesti + sedang) is more common.
repeated below, show that *tidak* can occur twice within the same clause, and so cannot be restricted to a single position. If we tried to account for multiple occurrences of *tidak* by positing multiple functional positions (e.g. Neg₁P vs. Neg₂P), it would be difficult to rule out the cases where two instances of *tidak* occur adjacent to each other, as in 56.

(58) **Aminah tidak pernah tidak datang.**

Aminah neg ever neg come  
‘Aminah has never failed to arrive.’  
(Gan 1991:67)

An alternative hypothesis would be that *tidak* adjoins to a following Aux or V. The adjunction analysis is supported by examples like 48b,c and 59. These examples show that the combination of *tidak* + Aux or V can undergo focus fronting as a unit, appearing before the subject, which indicates that *tidak* + Aux or V form a very tight constituent. Adjunction seems like a natural way to account for such examples.42

(59) a. **Tidak tahu=kah kamu betapa sangat merindukan=mu?**

neg know=q 2sg how 1sg very miss=2sg  
‘Don’t you know how very much I miss you?’

b. **Tidak bisa=kah kita hidup berdampingan?**

neg able=q 1pl.incl live side.by.side  
‘Can’t we live live side by side?’

c. **tidak harus=kah kita membayar pajak??**

neg must=q 1pl.incl pay tax  
‘Aren’t we required to pay taxes??’

However, there is good evidence showing that *tidak* does not always adjoin to a following V. In the ‘zero-passive’ or ‘objective voice’ construction (Arka & Manning 1998, Cole et al. 2008), the agent phrase may be a clitic pronoun, as in 60a, a free pronoun form, as in 60b, or a ‘pronoun substitute’ (e.g. a kin term or proper name used with first- or second-person reference), as in 60c,d.43 Whatever the syntactic type of the agent phrase, it must always occur immediately before the verb.

(60) a. **Buku ini harus kau=baca.**

book this must 2sg=read  
‘You must read this book.’  
(Sneddon 1996:249)

b. **Mobil itu dapat kita perbaiki.**

car that get 1pl.incl repair  
‘We can repair the car.’  
(Chung 1976:60)

c. **Surat ini harus adik tandatangani.**

letter this must younger.sibling sign  
‘You (younger sibling) must sign this letter.’  
(Dalrymple & Mofu 2009)

d. **Buku itu sudah Tini kembali.**

book that already (speaker’s name) return  
‘I (Tini) have already returned the book.’  
(Sneddon 1996:250)

Cole, Hermon, and Yanti (2008) analyze the agent phrase in this construction as occupying the [Spec,vP] position; for simplicity, I assume that it occupies [Spec,VP], as shown in 61. In this clause type, *tidak* can never be adjacent to the verb, as in 62c, but

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42 Example URLs: http://heavenscraper.blogspot.com/2012/02/ (59a); http://forum.viva.co.id/berita-dalam-negeri/50247-tidak-bisakah-kita-hidup-berdampingan.html (59b); https://twitter.com/nrtyas/statuses/28274898673606656 (59c).

43 In informal, conversational Indonesian, some speakers can use other types of NP in this agent position as well.
always precedes the agent phrase, as in 62a–d. So in this context, at least, tidak is not adjoined to any lexical head.44

(61)

(62) a. Allah *tidak kita lihat.*
   God NEG 1PL.INCL see
   ‘We do not see God.’

b. *Kueh ini tidak saya makan.*
   cake this NEG 1SG eat
   ‘This cake I do/will not eat.’ (Cole et al. 2008)

c. *Harus=kah menerima hadiah dari seorang yang tidak kita cintai?*
   should=q receive gift from someone REL NEG 1PL.INCL love
   ‘Should we accept a gift from someone we do not love?’

d. *Tentu saja bunga sebesar Rp.233.584, tidak saya bayarkan …*
   certain only interest as big (amount) NEG 1SG pay
   ‘It is certain that an interest charge as big as Rp.233.584, I will not pay.’

e. *Bunga sebesar Rp.233.584, saya tidak bayarkan.*

To account for these seemingly contradictory data, I propose that tidak can occur in two different positions. First, it can occupy the Neg⁰ position as the head of NegP. Neg⁰ is located between VP and I⁰, as shown in 63 and 64. (I have not found any evidence for a specifier position within NegP, so assume there is none.) Second, tidak can adjoin to any Aux or V that is higher than Neg⁰, as shown in 65, but never to a verb in situ (i.e. within the VP). This analysis is similar in some ways to the proposal by Zanuttini (1997), who suggests that markers of clausal negation in Romance dialects occur either as the head of NegP or left-adjoined to an independently existing head.

(63)

This analysis explains why in sentences like 58, where tidak occurs twice within the same clause, the two instances of tidak are always separated by an auxiliary (or a main verb that has undergone focus fronting). One of them occupies Neg⁰, while the other is adjoined to an auxiliary in I⁰. Sentences like 66a,b, where two instances of tidak occur adjacent to each other, are impossible. If there is a following auxiliary, as in 66a, the second tidak can adjoin to the auxiliary, but the first has nothing to adjoin to. When there is no following auxiliary, as in 66b, the second tidak can occupy I⁰, but again the first has nothing to adjoin to. In example 66c, I analyze tak terbatas ‘unlimited’, which contains the short-form negator tak, as a morphological compound; cf. §3.3.

As noted above, tidak can precede modals but (for most speakers) cannot immediately precede the aspect marker telah ‘perfect’, as seen in 67b. Whether this restriction is semantic or purely syntactic, the effect is that tidak cannot adjoin to telah. If and

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45 The same pattern is observed with sudah ‘already’. The negator belum ‘not yet’ is standardly defined as equivalent to tidak + sudah.
only if there is a modal intervening between *tidak* and *telah*. The contrast between 67b and 67c,d is predicted by the analysis sketched out above, but would be much harder to explain under an analysis in which multiple occurrences of *tidak* are accounted for by positing multiple functional positions (*Neg₁P* vs. *Neg₂P*).\(^{46}\)

(67) a. *Kebelakangan ini, segala perbelanjaan anak-anak ditanggung sepenuhnya oleh Plaintiff*
   
   ‘Recently, all of the expenses for supporting the children have been borne by the Plaintiff’

   kerana *Defendan *telah *tidak* berkerja sejak beberapa bulan yang lalu.
   
   because defendant PRF NEG work since several month REL pass
   
   ‘because the Defendant has not worked since several months ago.’

b. *Defendan *tidak* telah berkerja …

c. *untuk memeluk Islam … seseorang *tidak* harus *telah* memiliki faith keimanan yang tinggi.
   
   ‘a person is not required to have attained a high level of faith in order to embrace Islam.’

d. *syarikat tersebut *tidak* boleh *telah* di-isytiharkan muflis …
   
   company aforementioned NEG may PRF PASS-declare bankrupt

   ‘the aforementioned company must (deontic) not have been declared bankrupt …’

As noted in §5.2 above, modals can occur either before or after the subject. While presubject occurrence is possible in main clauses, as illustrated in 68a, it seems to be more common in finite complement clauses, as illustrated in 68b,c. Modals can be negated in presubject position, as shown in 69a, and again this seems to be even more common in finite complement clauses, as illustrated in 69b–c. Assuming that the complementizer *bah(a)wa* occupies the C₀ position,\(^{47}\) these examples show that auxiliaries that precede the subject lie somewhere between IP and C₀. I tentatively assume that these auxiliaries are adjoined to IP, as shown in 70. Given the fact that auxiliaries can occur in this position, our analysis correctly predicts that *tidak* can occur immediately to their left, by adjunction.\(^{48}\)

(68) a. *sebesar apapun kenakalan kita, *mesti* orang.tua kita tetap sabar mendidik kita …
   
   ‘No matter how naughty we have been, our parents must continue to teach us patiently …’

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\(^{47}\) This complementizer is pronounced *bahawa* in Bahasa Malaysia, *bahwa* in Bahasa Indonesia.

b. Maka saya menyatakan bahawa harus kita melakukan ibadah further statements should IPL.INCL.NOMS perform duty korban untuk si mati ber-agama islam … sacrifice for PERS dead MID-religion islam ‘Furthermore I state that we should perform the required sacrifice for the deceased Muslim person …’

(69) a. Tidak harus mereka memperlihatkan liuk-lintuk dan lenggan-lenggok NEG should 3PL cause.to.be.seen undulate and sway yang menggoda. REL tempt ‘They should not display swaying and undulating motions that are tempting.’

b. Salah satu penulis mengatakan bahwa tidak harus kita menerima a.certain writer say COMP NEG obliged 1PL.INCL receive tamu backpacker di rumah kita. guest backpacker in house 1PL.INCL ‘A certain writer says that we are not obligated to receive backpacker guests into our house.’

c. Maka melalui hadis ini jelas bahawa tidak boleh saya menjawab salam and exist tell 1SG COMP can 1SG answer greeting tersebut … aforementioned ‘And someone told me that I am permitted to reply to the aforementioned greeting …’

(70) ‘… that we are not obligated to receive (backpacker) guests …’

6. **Bukan** AS A SENTENTIAL OPERATOR. This section discusses additional evidence for treating **bukan** as a sentential operator and sketches out a tentative structural
I show that the distribution and formal properties of $bukan_V$ are similar in certain ways to those of speaker-oriented adverbs. This leads to the further observation that the distribution of $bukan_V$ fits a familiar pattern associated crosslinguistically with main clause phenomena.

6.1. The distribution of $bukan_V$.

Tag questions. Either tidak or $bukan$ can be placed at the end of a statement to form a yes-no question, but there is a difference in the nature of the resulting questions. Sudaryono (1993:93–94) states that tidak creates an alternative question (71a), which indicates that the speaker genuinely does not know the answer and has no expectation as to which answer is correct. In contrast, $bukan$ creates a confirmation tag (71b) that is biased toward an expected answer. The relevance to our present concerns lies in the fact that tidak is fully integrated into the interrogative clause in 71a, providing one alternative in the implicit coordinate structure, and the whole sentence lies under a single (falling) intonation contour. This structure is impossible with $bukan$. Instead, $bukan$ is separated from the main clause by a pause and gets a separate (normally rising) intonation contour. Each clause of sentence 71b carries its own illocutionary force; in other words, the two clauses constitute two distinct speech acts (statement followed by question).

(71) a. Kamulapar tidak?
   2sg hungry neg
   ‘Are you hungry or not?’ (Sudaryono 1993:94)

b. Kamulapar, $bukan$?
   2sg hungry neg
   ‘You are hungry, aren’t you?’ (Sudaryono 1993:94)

Optional sentence-initial position. In most of the examples presented thus far involving $bukan_V$, the negative word has appeared after the subject NP. However, it seems to be equally common for $bukan$ to occur in sentence-initial position, as illustrated in 72. Dardjowidjojo (1978:281) states that $bukan$ ‘can be placed before or after the subject’, implying that the choice of position does not affect meaning. The near-minimal pair in 73a,b supports the claim that this alternation in the position of $bukan_V$ does not correlate with any difference in meaning; it seems to be a case of free variation.49

(72) a. $bukan$ saya mahu menjatuhkan kaum sendiri.
   neg 1sg want bring.down kind/class self
   Cuma, saya sekadar mahu menyuarkan secara lisan apa yang terbukuk di hati.
   ‘It is not the case that I want to denigrate my own kind/class. Only, I just want to express openly what is hidden in my heart.’

b. $bukan$ dia mahu anak=nya di-masukkan ke tempat ini. Tapi terpaksa.
   neg 3sg want child=3sg pass-put.in to place this but forced
   ‘She did not want her child to be put into this place. But she was forced to (allow it).’

c. $bukan$ Amy sengaja enggan melawat keluarga Achik, sebaliknya …
   ‘It is not that Amy intentionally refuses to visit Achik’s family, on the contrary …’

   ‘It is not that Bu Bandi does not want to come here. Only she doesn’t have enough money.’

   *(Wolff et al. 1992:811)*

(73) a. Dia *bukan* bodoh, melainkan malas saja.
   3SG NEG stupid on.contrary lazy only
   ‘He’s not stupid but just lazy.’

   *(Sneddon 1996:349)*

b. *Bukan* dia bodoh, melainkan dia malas.
   NEG 3SG stupid on.contrary 3SG lazy
   ‘He’s not stupid, rather, he is lazy.’

   *(Sneddon 1996:349, Dardjowidjojo 1978:281)*

The word order shown in 72 and 73b is impossible with *tidak*, at least in declarative sentences. This contrast supports the claim made above that *tidak* occurs within the predicate, while *bukan*\(_V\) operates on the sentential level. Both of the positions where *bukan*\(_V\) can occur (before or after the subject NP) are available in finite complement clauses, as well as in main clauses. When *bukan*\(_V\) occurs before the subject in complement clauses, it follows the complementizer *bah(a)wa*, as illustrated in 74.\(^{50}\) From this we can infer that *bukan*\(_V\) is lower than \(C^0\), and I assume that it adjoins to IP, as illustrated in 75.\(^{51}\)

(74) a. Maka datanglah masanya,
   ‘And there will come a time,’
   bahwa *bukan* lagi hutan berbahaya bagi manusia,
   COMP NEG more jungle dangerous for human
   ‘when the jungle is no longer a danger to Man’
   melainkan sebaliknya manusia berbahaya bagi hutan.
   ‘but on the contrary Man is a danger to the jungle.’

b. Manullang juga mengatakan bahwa *bukan* tidak mungkin
   (name) also say COMP NEG NEG impossible
   ‘Dr. A. C. Manullang [former director of national intelligence] also said that it is not impossible’
   Umar Al-Faruq … adalah agen didikan Amerika sendiri.
   ‘that Umar Al-Faruq … is an agent trained by America itself.’

(75) ‘It’s not the case that he helped us (on the contrary, … ).’

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\(^{50}\) Example URLs: http://lsmrajaagiri.blogspot.com/2012/08/maka-datanglahmasanya-bahwa-bukan-lagi.html, quoting Bung Hatta, 1956 (74a); http://tempo.co.id/harian/wawancara/waw-Manulang01.html (74b).

\(^{51}\) Since at least some auxiliaries can also adjoin to IP, this analysis predicts that *bukan* and Aux might both occur in this position simultaneously. Such cooccurrence in presubject position seems to be quite rare, and I leave this issue as a topic for future research.
There are two possible ways in which \textit{bukan}_\textit{V} might appear after the subject: either (i) \textit{bukan}_\textit{V} could optionally occur lower than the normal subject position, or (ii) the subject could optionally move higher than \textit{bukan}_\textit{V}, which remains in its normal position.\footnote{Thanks to a referee for raising this issue.}

There are at least two reasons to think that the first of these possibilities is closer to the truth. First, as discussed below in §6.3, the positions where \textit{bukan}_\textit{V} can occur seem to be identical to those where speaker-oriented adverbs are permitted. All speaker-oriented adverbs can occur before the subject, but only a limited subset of them can occur immediately after the subject. This optionality therefore seems to be a special property of the specific adverbials that alternate; it would be harder to explain why the subject NP could be fronted in collocation with some of them but not with others. The most natural treatment of \textit{bukan}_\textit{V} seems to be to assume that it shares the relevant distributional property with those adverbials that alternate.

A second argument against subject fronting as an explanation for the two positions of \textit{bukan}_\textit{V} comes from a certain pattern of fronting that is possible for adjuncts and oblique arguments (cf. Verhaar 1984:37, 39). This construction is not strongly marked pragmatically, and the fronted element does not require any focus particle, in contrast to the cleft and focus-fronting operations discussed above. I tentatively analyze it as a kind of clause-internal scrambling, rather than extraction.\footnote{Notice that the fronted adjunct \textit{waktu itu} ‘at this time’ in 76a follows the sentence-initial speaker-oriented adverb \textit{sebenarnya} ‘actually’.} Examples like 76 show that \textit{bukan}_\textit{V} can occur after the subject even when that subject is preceded by a fronted adjunct. If I am correct that such fronting is a kind of clause-internal scrambling, this suggests that the subject is not itself fronted outside of the IP to which it belongs. But clearly more work is needed to verify the positions of these elements.\footnote{Example URLs: http://www.klinikhipnotis.com/frm11/hipnosis/trd1793/pengalaman_bagaimana_agar_suyet_jadi_pandai_ber_bahasa_inggris/main.html (76a); http://sebuahkeyakinan.blogspot.com/ (76b).}

(76) a. sebenarnya \textit{waktu itu} dia bukan berhasil mengerti bahasa inggris, actually \textit{time that}3sg \textit{neg} succeed understand language English ‘Actually at that time he couldn’t really understand English,’
dia hanya asal bicara …
‘he was just talking for the sake of talking … ’
b. \textit{Disini} saya \textit{bukan} menghalalkan ciuman dengan siapa saja, here 1sg \textit{neg} make.legal kissing with who only ‘Here I do not authorize/give permission for kissing with just anyone,’
tapi hanya memberikan informasi mengenai fakta-fakta ciuman …
‘but only give information concerning some facts about kissing … ’

When \textit{bukan}_\textit{V} occurs after the subject, it must precede all auxiliaries (ignoring focus fronting etc. for the moment). This fact can be accounted for by assuming that in this position, \textit{bukan}_\textit{V} adjoins to I’, as illustrated in 77. If all auxiliaries are dominated by I\textsuperscript{0}, as suggested in §5.2, then they will always follow any element adjoined to I’.

\begin{itemize}
\item\footnote{Example URLs: http://www.klinikhipnotis.com/frm11/hipnosis/trd1793/pengalaman_bagaimana_agar_suyet_jadi_pandai_ber_bahasa_inggris/main.html (76a); http://sebuahkeyakinan.blogspot.com/ (76b).} Thanks to a referee for raising this issue.
\item Notice that the fronted adjunct \textit{waktu itu} ‘at this time’ in 76a follows the sentence-initial speaker-oriented adverb \textit{sebenarnya} ‘actually’.
\item Example URLs: http://www.klinikhipnotis.com/frm11/hipnosis/trd1793/pengalaman_bagaimana_agar_suyet_jadi_pandai_ber_bahasa_inggris/main.html (76a); http://sebuahkeyakinan.blogspot.com/ (76b).}
\end{itemize}
‘It’s not the case that he helped us (on the contrary, …).’

Empirical support for the validity of the I’ constituent is provided by the fact that I’ coordination is possible, as illustrated in 78.55

a. penyidik tersebut [[telah kembali ke Mabes Polri]I′
   investigator aforesaid prf return to HQ national.police
   dan [akan di-berikan tugas baru]I′
   and fut pass-give duty new
   ‘the aforementioned investigators have returned to National Police
   Headquarters and will be given new duties.’

b. Rain akhirnya [[telah menyelesaikan program pelatihan]I′ dan
   (name) finally prf completed program training and
   [akan secara.resmi melayani di.bawah Divisi 5]I′
   fut officially serve under Division 5
   ‘[Korean singer] Rain has finally completed his [military] training
   program and will officially serve under the 5th Division … ’

Negation of nonfinite clauses. The negators bukanV and tidak contrast sharply in terms of their potential for occurrence in nonfinite clauses. We consider here purpose clauses and nonfinite complement clauses, both of which can be marked with the complementizers untuk/∅ or agar/supaya. These subordinate clause types share several features in common. First, none of them can contain auxiliary verbs expressing tense or aspect. Second, all of them can be negated with tidak, and none of them can be negated with bukan. The failure of bukan to occur in these contexts may reflect the fact that these clause types are not fully sentential, as indicated by their obligatory lack of independent specification for tense or aspect. I suggest below that it may also be related to the fact that propositions expressed in these clause types cannot have any degree of ‘illocutionary independence’.

There are two different types of adverbial purpose clauses that are of interest here.56

The first type is introduced by the complementizer supaya (neutral or low register) or agar (high register), both meaning ‘so that’. When these complementizers are used, the adverbial clause is a sentential adjunct that may contain an overt subject NP, as in 79a,b.


56 See Kroeger 2004:Ch. 5 for further examples and discussion of these constructions.
If the subject of the adverbial clause is the same as some argument of the main clause, it may optionally be omitted (by pro-drop), as shown in 79c, but there is no obligatory control relation in this construction.

(79) a. Dia jual sayur supaya anak=nya dapat ber-sekolah.  
   3sg sell vegetable so child=3sg get mid-school  
   ‘She sells vegetables so that her son can go to school.’

b. Kami ber-angkat pagi-pagi agar kami tidak terlambat.  
   1PL.EXCL MID-depart early.morning so 1PL.EXCL NEG late  
   ‘We set off early in the morning so we wouldn’t be late.’

(Sneddon 1996:344)

c. Dia pergi ke perpustakaan supaya (dia) dapat membaca buku.  
   3sg go to library so (3sg) get read book  
   ‘He went to the library so that (he) could read a book.’

(Sneddon 1996:366)

The second type of purpose clause is introduced by the complementizer untuk ‘for’, as in 80. In this construction, the adverbial clause never contains an overt subject NP; its subject must be controlled by a nonoblique argument of the main clause.

(80) Saya harus pergi ke kantor pos untuk membeli perangko.  
   1sg must go to office post for buy stamp  
   ‘I have to go to the post office to buy stamps.’

(Sneddon 1996:344)

The examples in 81–82 illustrate negation of a purpose clause, showing that only tidak is acceptable; bukan_V can never occur in these contexts.57

(81) a. Kami ber-angkat pagi-pagi agar kami tidak/bukan terlambat.  
   1PL.EXCL MID-depart early.morning so that 1PL.EXCL NEG late  
   ‘We set off early in the morning so we wouldn’t be late.’

(Sneddon 1996:344)

   buffalo pass-tie so.that NEG struggle.free when PASS-slaughter  
   ‘The water buffalo is tied so that it will not struggle free when it is slaughtered.’

c. Kerajaan perlu mengawal pertumbuhan rumah burung walit ini agar ia tidak merosakkan industri lain.  
   ‘The government needs to control the growth of these swallows’ nests so that they do not destroy other industries.’

(Sneddon 1996:344)

(82) a. Terkadang aku harus diam untuk tidak/bukan memperbesar masalah.  
   occasionally 1sg must silent COMP NEG enlarge problem  
   ‘Occasionally I need to keep silent in order not to make the problem worse.’

b. Seakan menambah energi untuk berlari untuk tidak/bukan ketinggalan kereta sore ini.  
   ‘It was as if he gathered his energy to run so as not to be left behind by the car this afternoon.’

The two types of complement clause discussed here are similar in structure to purpose clauses. Complement clauses in which the subject is obligatorily controlled by an argument of the matrix clause are introduced with *untuk* (83a); with many matrix verbs *untuk* is optional, as illustrated in 83b. Complement clauses introduced with *agar* or *supaya* do not involve syntactic control and can have independent subject NPs, as in 84a. Once again, if the subordinate clause subject is coreferential with an argument of the matrix clause, it may optionally be omitted via pro-drop, as in 84b.

(83) a. Dia menolak *untuk* di-periksa oleh mereka.
   
   3sg refuse COMP pass-investigate by 3PL
   
   ‘He refused to be investigated by them.’ (Sneddon 1996:296)

   b. Pemerintah mengizinkan dia (*untuk*) menghadiri sidang.
   
   government permit 3SG (COMP) attend session
   
   ‘The government permitted him to attend the session.’ (Sneddon 1996:295)

(84) a. Dia ber-cita-cita *agar* anak=nya di-lamar oleh orang kaya saja.
   
   3sg mid-desire COMP child=3SG pass-propose by person rich only
   
   ‘He desires that his daughter be proposed to by no one but a rich man.’ (Sneddon 1996:297)

   b. Saya dapat mengusulkan kepada pimpinan *supaya* (mereka) menerima saudara
cousin/brother
   
   ‘I can suggest to the leaders that they accept you.’ (Sneddon 1996:299–300)

The examples in 85 illustrate negation of a control complement, and those in 86 illustrate negation of an *agar/supaya* complement. Once again, only *tidak* is acceptable; *bukan* is impossible.58

(85) a. Dia memutuskan *untuk tidak/bukan* menolong mereka.
   
   3sg decide COMP neg help 3PL
   
   ‘He decided not to help them.’ (Sneddon 1996:298)

   b. Jika anak-anak di-ciptakan *untuk tidak* mencoba hal-hal yang baru karena takut gagal …
   
   ‘If children are raised/trained not to try new things because they are afraid to fail …’

   c. Orang sakit yang menyebabkan sulit bagi=nya untuk berpuasa di-syariatkan *untuk tidak* berpuasa, …
   
   ‘Sick people for whom it would be difficult to fast are legally permitted [under Islamic law] not to fast …’

(86) a. Saya minta *supaya saudara tidak/bukan* pergi.59
   
   1sg request COMP cousin neg go
   
   ‘I request that you don’t go.’ (Sneddon 1996:299)

   b. Rukman mengingatkan *agar* anak buah=nya *tidak/bukan* menembak.
   
   Rukman remind/warn COMP child fruit=3SG neg shoot
   
   ‘Rukman warned his men not to shoot.’ (Sneddon 1996:298)


59 Example 86a could also be negated with the negative imperative marker *jangan* ‘do not’. This option occurs primarily when the matrix verb expresses a command, advice, or wish. At least some purpose clauses and control complements can also be negated with *jangan*. 
c. Hamas Ingatkan Barat Agar Tidak Membantu Israel.
Hamas remind West COMP NEG help Israel
‘Hamas reminds/warns the West not to help Israel.’ (headline)

6.2. Scope effects. The claim that *tidak* is a marker of predicate negation while *bukan* is a sentential operator predicts that the scope of negation with *bukan* is wider than with *tidak*. We have already seen that *bukan* always precedes and takes scope over all auxiliaries, whereas *tidak* takes variable scope depending on relative order. In this section we see that the predicted difference in scope holds true for adverbial clauses of reason and purpose. We then consider the question of whether *bukan* can take scope over clausal coordination, something that is never possible for *tidak*.

Adverbial clauses of reason and purpose. *Bukan* in the matrix clause always takes wide scope over adverbial clauses of reason and purpose. *Tidak*, in contrast, always takes narrow scope with respect to reason clauses and one type of purpose clause. *Tidak* may take either wide or narrow scope with respect to a second type of purpose clause.

The contrast in scope with respect to reason clauses is illustrated in 87–88. Sentence 87a with *bukan* does not deny that the girl is crying, but only that the reason for the crying is because she is sad. Replacing *bukan* with *tidak* in 87b forces a narrow-scope reading that denies that the girl is crying, making the sentence semantically anomalous and rendering the continuation (‘but because she is happy’) totally impossible. In sentence 88a (repeated from 29 above), Tengku Razaleigh (a powerful member of the governing party) does not deny that he attended a meeting with the opposition party, but only denies one possible reason for his attendance. Replacing *bukan* with *tidak* in 88b forces a narrow-scope reading that denies that he attended the meeting at all. Another wide-scope example with *bukan* is shown in 89.

(87) a. Gadis itu *bukan* menangis kerana sedih, tetapi kerana gembira.
girl that NEG cry becausesad but becauseshappy
‘That girl is not crying because she is sad, but because (she is) happy.’
(Abdul Chaer 2009:§8.2.2)
b. ??Gadis itu *tidak* menangis kerana sedih, (*tetapi kerana gembira*).
‘#That girl is not crying, because she is sad.’

(88) a. [Tengku Razaleigh Hamzah, quoted in The Malaysian Insider, April 15, 2010]
Saya *bukan* hadir perhimpunan di Kelantan itu kerana saya hendak sokong PAS …
‘I did not attend the meeting in Kelantan because I want to support PAS … (but for some other reason)’
b. Saya *tidak* hadir perhimpunan di Kelantan itu kerana saya hendak sokong PAS …
‘Because I want to support PAS, I did not attend the meeting in Kelantan …’

(89) dia tau laki dia *bukan* di-lepaskan sebab tak bersalah, tapi di-sebakan oleh ugutan US pada Pak Lah …
‘she knows that her man [i.e. her husband, Anwar Ibrahim] was not set free because he is not guilty, but because of American intimidation of Pak Lah [Prime Minister Abdullah Badawi] …’

60 http://bigdogdotcom.wordpress.com/2008/06/29/old-habits-die-hard/
As discussed in §6.1, there are two different types of adverbial purpose clauses. Those introduced by the complementizers *agar* or *supaya* are sentential adjuncts, with no control relation involved. When the matrix clause of this construction is negated with *bukan*, negation takes wide scope that includes the purpose, as in 90a. However, such examples seem to be relatively rare; most apparent examples really involve complement clauses with *agar/supaya*, like those discussed in the previous section. When the matrix clause is negated with *tidak*, negation always takes narrow scope, as illustrated in 90b–d.61

(90) a. Dia yang seharusnya membinbimbing isteri=nya itu, *bukan* di-lepaskan supaya mencari jalan sendiri.
   ‘He is the one who should guide his wife, not set her loose so that she seeks her own way.’

b. ia sanggup untuk *tidak* tidur sepanjang malam supaya hajat=nya tertunai.
   ‘he was willing not to sleep all night long in order for his desire to be fulfilled.’

c. Kita sepatut=nya *tidak* melupakat aspek akademik supaya tidak menjegaskan masa depan pemain ini, terutama=nya jika mereka gagal menjadi pemain bola sepak professional.
   ‘We should not forget the academic aspects so that we will not damage the future prospects of these players, especially if they fail to become professional soccer players.’

d. hari Jumaat adalah di-galakkan kita agar *tidak* bekerja supaya dapat melakukan sunnah-sunnah Rasulullah SAW …
   ‘on Friday we are encouraged not to work so that we can perform the meritorious deeds prescribed by the Messenger of God …’

In purpose clauses introduced by the complementizer *untuk* ‘for’, as illustrated in 80, the adverbial clause never contains an overt subject NP; its subject must be controlled by a nonoblique argument of the main clause. When the matrix clause of this construction is negated with either *tidak* or *bukan*, negation can take wide scope, as illustrated in 91–92. *Tidak* also allows a narrow-scope interpretation, as illustrated in 93, but this is impossible with *bukan*.62

(91) a. Tapi aku *bukan* datang untuk melihat Ronaldo, Beckham ataupun Owen.
   Aku datang untuk melihat Azrul.
   ‘But I did not come to see Ronaldo, Beckham or Owen. I came to see Azrul.’


b. Ustadz Umar menjawab: ‘Saya ingin mengarahkan pandangan penannya kepada satu hal, bahwa saya tidak datang kemari untuk mencaci para penguasa kami …’

‘Ustadz Umar answered, “I want to direct the attention of the questioner to one matter, namely that I did not come here to ridicule/vilify our [Egyptian] rulers …”’

(92) a. Aku bukan berkerja untuk mendapat gaji tapi pengalaman.63

‘I do not work to get a salary but experience.’

b. Kelompok ini tidak bekerja untuk mendapatkan uang tetapi karena mereka ingin bekerja …

‘This group does not work to get money but because they want to work.’

(93) a. terpaksa tidak bercakap untuk mengelak dari bercakap perkara tak sepatutnya.

‘(I am) forced not to speak in order to avoid saying something inappropriate.’

b. Gambar anak muda tersebut tidak di-siarkan untuk mengelakkantimbul persepsi yang negatif.

‘The picture of the young child just mentioned is not published/broadcast in order to avoid creating a negative perception.’

c. ada juga orang yang benar-benar mengetahui cerita sebenarnya tetapi tidak mengakui=nya untuk mengelakkan suku sakat=nya terasa.

‘there are also people who truly know the real story but do not admit it in order to avoid their ethnicity being perceived.’

d. Nilai barang perantaraan tidak dicampurkan untuk mengelakkan masalah pengiraan dua kali.

‘The value of the raw materials (?) is not added in order to avoid the problem of it being calculated twice.’

COORDINATE CLAUSES. Horn (1989:Ch. 7) states that true external negation is very rare in natural language, and predicts that if it exists it should take scope over both clauses in a coordinate sentence. If I am correct that bukan is a marker of sentential (external) negation, then I would predict that it should take scope over coordinate clauses. It is clear that tidak cannot scope over clausal coordination, and even with bukan it is quite difficult to find natural declarative examples. However, interrogative examples involving bukan, like those in 94, are not uncommon.64

(94) a. bukan=kah tinta telah kering dan lembaran-lembaran telah di-tutup?

\[
\text{NEG=Q ink PRF dry and page-REDUP PRF PASS-close}
\]

‘isn’t it the case that the ink has dried and the pages have been closed?’

b. Bukan=kah purnama tersenyum simpul dan bintang-bintang berseronok riang?

‘Isn’t it the case that the full moon smiles an embarrassed smile and the stars are pleasantly giddy/dizzy?’

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63 Berkerja ‘work’ is the Malaysian form, bekerja (seen in the next example) the Indonesian form.

c. Matthew 6:25 (TB)

_Bukan=k_ah hidup itu lebih penting dari pada makanan dan tubuh itu lebih penting dari pada pakaian?

‘Isn’t it the case that life is more important than food and the body is more important than clothing?’

d. _Bukan=k_ah hp [hand-phone] sekarang murah harga=nya, dan kartu per-dana juga mudah di-dapat, bahkan banyak orang sekalipun hp=nya cuma satu, nomor=nya bisa sampai lima.

‘Isn’t it the case that hand-phones are now cheap, and SIM cards are also easy to get, in fact many people even if they have only one hand-phone may have as many as five numbers.’

The negator in the following declarative example could possibly be analyzed as _bukan_N, since the clauses that are being coordinated are clefts, and therefore equative in structure; thus they would be individually negated with _bukan_N. Given the overtly contrastive context, however, I believe that this is more likely another instance of _bukan_V scoping over clausal coordination. (The context preceding the sentence of interest is included to make it clear that we have clausal coordination involving clefts, and not just NP coordination in which the NPs contain relative clauses.)


‘As seen from the meaning of its root, “remedial” means “to heal” or “to correct”. Therefore “remedial teaching” means a form of teaching that has the property of healing or correcting which will make something better.’

Di=sini _bukan_ [orang sakit yang di-sembuhkan] atau at=here NEG person sick REL PASS-heal or [barang yang rusak yang di-betulkan], thing REL broken REL PASS-repair

‘Here it is not sick people who are healed or broken things which are repaired,’

melainkan siswa-siswi sekolah yang mengalami kesulitan menerima materi pelajaran.65

‘but rather school students who experience difficulty receive instructional materials.’

6.3. Similarities with speaker-oriented adverbs. The positions where _bukan_V can occur seem to be identical to those where speaker-oriented adverbs are permitted. Many of the ‘sentence adjuncts’ listed by Sneddon (1996:362ff.) seem to function as speaker-oriented adverbs, including _mudah-mudahan_ ‘hopefully’, _semoga_ ‘hopefully’, _syukurlah_ ‘thankfully’, _untung(lah) ‘fortunately’, (konon-)konon(nya) ‘hearsay’, _terny-ata_ ‘clearly, obviously’, _terus-terang_ ‘frankly’, _nampaknya_ ‘apparently’, _sebenarnya_ ‘in fact’, _malangnya_ ‘unfortunately’, and so on. All of these forms can occur before the subject, as illustrated in 96. Some (but not all) of them can also occur immediately after

the subject, as illustrated in 97. In this position, they must precede all auxiliaries. As we have seen, the same two positions are possible for \( \text{bukan}_V \) as well.\(^{66}\)

(96) a. Dehaan juga menulis bahwa konon masjid ini di-dirikan oleh
Dehaan also write \( \text{comp} \) hearsay mosque this \( \text{pass-ect by} \)
se-orang wanita Cina dari suku Tarta(r) …
one-CLS woman Chinese from tribe Tatar
‘Dehaan also wrote that (it is said) this mosque was erected by a
Chinese woman from the Tatar tribe …’

b. Ferry mengungkapkan bahwa nampaknya PSSI membuat se-buah
(name) express \( \text{comp} \) apparently (name) make one-CLS
keputusan yang keliru.
decision REL confuse
‘Ferry Paulus [general manager of the Persija Jakarta soccer team] ex-
pressed that apparently the Pan-Indonesian Football Association
(PSSI) had made a confusing/confused decision.’

(97) a. mereka konon enggan termasuk dalam sub-etnis Batak …
3PL hearsay unwilling include in subgroup Batak
‘they [residents of the Mandailing district] were (it is said) unwilling to
be included in the Batak ethnic group …’

b. melaporkan bahawa mereka nampaknya telah menjadi pujaan hati
report \( \text{comp} \) apparently \( \text{prf} \) become adored liver
ramai wanita muda.
many woman young
‘[The \( \text{Tagesanzeiger} \) newspaper, for example,] reports that they [con-
testants on Malaysian TV’s \( \text{Young Imams} \) program] have apparently
become the heartthrobs of many young women.’

Another way in which \( \text{bukan}_V \) is similar to a speaker-oriented adverb is that it may optionally bear the clitic \( =\text{nya} \).\(^{67}\) A number of the sentence adverbs mentioned above contain this clitic, either optionally or obligatorily (in this usage), including nampaknya ‘apparently’, rupanya ‘apparently’, sebenarnya ‘in fact’, (konon-)kononnnya ‘hearsay’, malangnya ‘unfortunately’, and so on. Dardjowidjojo (1978:281) states that when \( \text{bukan} \) is used (either before or after the subject) to negate verbal clauses, ‘the particle -\( \text{nya} \) is usually added, although it is not obligatory’. This cannot occur with \( \text{tidak} \). Some examples are presented in 98.

(98) a. \( \text{Bukan}=\text{nya} \) saya tak sedar …
\( \text{NEG}=3\text{SG 1SG NEG} \) aware
‘It wasn’t that I didn’t realize it. (Far from it.)’ \( \text{(Lewis 1947:106)} \)

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\(^{67}\) In its primary sense \( =\text{nya} \) is a clitic third-person pronoun, but it has a number of extended uses as well. For example, Sneddon (1996:151) states that in Indonesian, \( =\text{nya} \) can be used to mark NPs whose referent is predictable or inferable from context, but which has not been previously mentioned.
Dardjowidjojo uses *bukan(nya)* for the form I have referred to as *bukanV*, to distinguish it from the nominal negator (my *bukan*), and he implies that the presence or absence of -*nya* does not affect the meaning of *bukan* in this context. Other writers do not seem to feel that *bukannya* is more common than *bukan* in verbal clauses, as claimed by Dardjowidjojo, but both forms are quite frequent in natural text.

### 6.4. *BukanV* AS A MAIN CLAUSE PHENOMENON

The similarities between *bukanV* and speaker-oriented adverbs in Malay/Indonesian noted above are part of a broader pattern that is explored in this section: *bukanV* exhibits distributional properties associated crosslinguistically with main clause phenomena.

Speaker-oriented adverbs in English (e.g. *(un)fortunately, obviously, presumably, apparently, reportedly*, etc.), also referred to as speech-act adverbials, are a frequently cited example of a phenomenon that is restricted to ‘root clauses’ (Emonds 1970, 1976), that is, main clauses and certain other clauses that share some of the properties of main clauses. Linguistic structures and elements that occur only in such environments are often referred to, following Green 1976, as main clause phenomena (MCP). What exactly the properties are that all ‘root clauses’ share in common, and how to account for the distributional restrictions associated with these clauses, has been a topic of renewed intensive discussion and research for the last decade or so (see for example Haegeman 2006, 2010a,b, Heycock 2006, and the papers in Aelbrecht et al. 2012).

**Accounting for MCP crosslinguistically.** MCP include structural root phenomena like the ‘root transformations’ in English discussed by Emonds (1970) and Hooper and Thompson (1973); some of these are listed in 99. V2 word order in Germanic is another widely discussed example of a structural MCP. In addition, various morphological and lexical elements have been identified as MCP, including speaker-oriented adverbs, evidentials, vocatives, ‘performative honorifics’, other types of politeness and speech style markers, assorted discourse and ‘modal’ particles, and so on.

(99) a. VP preposing (*Mary plans for John to marry her, and marry her he will.*)  
b. Negative constituent preposing (*Never in my life have I seen such a crowd.*)  
c. Topicalization  
d. Directional adverb preposing, a.k.a. locative inversion (*Up the street trotted a dog.*)  
e. Subject-auxiliary inversion  
f. Tag question formation

Hooper and Thompson (1973) show that root transformations can occur in certain kinds of embedded clauses. For example, they identify five classes of complement-taking verbs in English, and show that root transformations can occur in the complements of some verbs (e.g. verbs of speaking) but not in others (e.g. factive verbs in the sense of
Kiparsky & Kiparsky 1970). They state that root transformations can occur in nonrestric-
tive relative clauses, or in restrictive relative clauses with indefinite head nouns, but
not in restrictive relative clauses with definite head nouns, and in many adverbial
clauses marked with because, but not in temporal adverbial clauses. Hooper and
Thompson also note that root transformations cannot occur in ‘reduced clauses’, mean-
ing infinitives, gerunds, and subjunctive clauses.

Other kinds of MCP have been shown to have similar distributions. A number of
authors have observed that speaker-oriented adverbs in English can occur in main
clauses and complement clauses of reported speech (100a,b), but are much less natu-
ral/acceptable in other kinds of complement clause (100c). Speaker-oriented adverbs
are generally unacceptable within temporal and conditional clauses (100d,e), but can
occur in at least some reason clauses (100f).

(100) a. Unfortunately/apparently the chairman of the board has been arrested
    for embezzlement.
  b. John said that unfortunately/apparently the chairman of the board has
    been arrested for embezzlement.
  c. ??John believes/regrets/doubts/denies that unfortunately/apparently the
    chairman of the board has been arrested for embezzlement.
  d. #After unfortunately/apparently the chairman of the board was arrested
    for embezzlement, no one wanted to invest money in that company.72
  e. I won’t invest money in that company if (#unfortunately/#apparently)
    the chairman of the board has been arrested for embezzlement.
  f. You’d better get your money out of that bank, because unfortunately/
    apparently the chairman of the board has been arrested for embezzle-
ment.

Haegeman (2010b:630) notes that ‘speech act adverbials are incompatible with tempo-
rnal and conditional adverbial clauses’ not only in English but in a number of other
languages as well (see also Ernst 2007, 2009). A variety of other MCP are known
crosslinguistically to have similarly restricted syntactic distributions. For example,
Harada (1976) and Miyagawa (2012) show that ‘performative honorifics’ in Japanese,
used to show respect for the addressee in the current speech act, can occur in main
clauses and complement clauses of reported speech, but are not allowed in most other

68 Hooper and Thompson state that root transformations can occur within ‘nonrestrictive’ because-clauses
and within those ‘restrictive’ because-clauses that are not presupposed. Hooper and Thompson illustrate the
nonrestrictive use of because, in which it functions as a speech-act adverbial, with the following example:
Sam is going out for dinner, because I just talked to his wife. The distinction between restrictive and
nonrestrictive adverbial clauses is due to Rutherford 1970.

69 Green (1976) makes the important observation that not all MCP have the same range of occurrence. For
example, some are strictly restricted to occurring in main clauses, while others can occur in certain kinds of
subordinate clauses, as discussed above.

70 See for example Haegeman 2006, 2010a,b and references cited there.

71 I consider here only standard/hypothetical conditional clauses; other types of conditionals will be
mentioned below.

72 Greg Carlson (p.c.) has pointed out that the examples involving when-temporal clauses are much
improved if the sentence adverb occurs between the Aux and main verb. For some speakers such sentences
are fully acceptable; for me they are still clearly worse than the corresponding because-clauses (and I find
unfortunately better than apparently). I think the same effect can be seen in conditional clauses when the if-
clause is preposed, for example, If the chairman of the board has unfortunately been arrested for embe-
zzlement, I won’t invest money in that company. For both temporal and conditional clauses, I find sentence
adverbs much less acceptable when the adverbial clause follows the main clause, even when the adverb
follows the subject.
kinds of complement clause. Miyagawa (2012) states that performative honorifics are not acceptable within temporal clauses, but can occur in reason clauses.

A number of different suggestions have been proposed regarding the nature of root clauses and the motivation for the restricted distribution of MCP. Hooper and Thompson (1973:472) argue that root phenomena are emphatic in nature, and so can occur only in clauses that are asserted. As a number of authors have pointed out, beginning with Green 1976, the concept of ‘assertion’ is difficult to define precisely, and to the extent that it can be reliably identified, Hooper and Thompson’s generalization does not account for all of the facts even for the root phenomena that they discuss. However, there seems to be enough truth in their proposal that it continues to be widely used and discussed.

Many of the proposed analyses of MCP seem to be based on the intuition that MCP are licensed only in clauses with a certain degree of illocutionary independence. Bentzen (2009) expresses this intuition in these words: ‘The potential independent communicative contribution of the clause determines whether it allows embedded root phenomena or not’. Others have suggested that root clauses are those that contain a specification for point of view (Speas & Tenny 2003) or those that are anchored to the speaker (Haegeman 2006).73

Haegeman (2006, 2010a) points out that not all temporal and conditional adverbial clauses are equal with respect to MCP. She distinguishes between peripheral and central adverbial clauses. Central adverbial clauses are tightly integrated into the syntax (within IP) and semantics of the matrix clause; the two together form a single assertion. Peripheral adverbial clauses are less tightly integrated syntactically, often being set off from the main clause by a pause or comma intonation. Semantically, ‘the core property which singles out peripheral adverbial clauses … from central adverbial clauses … is that peripheral adverbial clauses, but not central ones, are anchored to the speaker’ (Haegeman 2006:1654). She suggests that MCP are permitted in peripheral adverbial clauses but not in central adverbial clauses.

Haegeman cites work by Declerck and Reed (2001), who make a very similar distinction between two types of adverbial clause. Declerck and Reed argue that the adverbial clauses that Haegeman identifies as ‘peripheral’ are echoic in nature. They state: ‘the claim that [these adverbial clauses] are echoic need not mean that they have to be echoes of actual utterances. They may also be echoes of an internal or mental proposition (thought) such as the interpretation of an experience, perception etc.’ (2001:83). In central adverbial clauses, the speaker ‘presents the contents of the two clauses as forming a unit’, whereas in peripheral adverbial clauses, ‘there are, as it were, two illocutionary speech acts’ (Declerck & Reed 2001:131, cited in Haegeman 2006:1655).

It seems plausible that echoic use may require the kind of illocutionary independence that is necessary to license MCP, and I suggest below that echoic interpretation is a characteristic that is shared by all three of the uses of *bukan* described in §§2–4 above. However, not all peripheral adverbial clauses are echoic. This can be seen by comparing the ‘factual conditionals’ illustrated in 101 with the ‘biscuit conditionals’ (a.k.a. relevance conditionals) illustrated in 102.

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73 Some recent work suggests that nonrestrictive relative clauses and other ‘supplemental expressions’ are not always anchored to the speaker, although in the majority of cases they are; see Amaral et al. 2007, Harris & Potts 2010, and references cited there.
(101) Factual conditionals
a. If he’s so smart, why isn’t he rich?
b. If you are really that sick, go home and go to bed!

(102) Biscuit conditionals
a. If you don’t mind my asking, why did you quit your last job?
b. If you want my advice, buy precious metals!

Factual conditionals carry the presupposition that someone other than the speaker has said or believes that the proposition expressed by the antecedent is true; thus the adverbial clause is clearly echoic in nature. However, relevance conditionals do not trigger any such presupposition. Nevertheless, in both patterns the two clauses clearly constitute ‘two illocutionary speech acts’. This is seen in the fact that the main clause can be interrogative or imperative in both of these constructions, something that is not possible with standard (= hypothetical) conditionals.

Accounting for bukanVs. Returning to our discussion of bukanVs, there are restrictions on its distribution that are similar to those that have been reported for MCP in other languages.

Most of the examples of bukanVs presented thus far involve negation of main clauses, but as we have already seen in examples 5 and 26, bukanVs can also be used to negate some finite complement clauses. Additional examples are presented in 103. The acceptability of bukanVs in complement clauses depends partly on the specific matrix verb that is used; it seems to be most common in reported speech, but is also possible in certain other types of finite complement. The acceptability also depends on pragmatic factors; occurrences with nonspeech matrix verbs are most common in explicitly contrastive contexts like 103c, as we would expect. However, even in these contexts it is virtually unattested with verbs like fikir/pikir ‘think’, anggap ‘consider, assume’, and sangka ‘suspect, suppose’.74

(103) a. Biar saya memberitahu pihak anda
‘Allow me to inform you’
bahawa bukan= nya kerajaan tidak mahu menerima gencatan
COMP NEG=3SG government NEG want receive cessation
senjata.
weapon
‘that the government is not unwilling to accept a truce/ceasefire.’

b. Dalam kasus ini perlu digarisbawahi
‘In this case it needs to be underlined/emphasized’
bahwa kita bukan= nya bermaksud melarang orang menghormati
COMP 1PL NEG=3SG intend forbid person honor
orang lain
person other
‘that it is not the case that we intend to prohibit anyone from honoring someone else’
dengan memberi gelar haji.
‘with the title haji.’

c. Beberapa akademis percaya bahwa *bukan=nya menguap*, some academic believe **COMP NEG=3SG yawn**

‘Some academics believe that it is not the case that (they,) yawn,’
bayi hanya membuka dan menutup mulut mereka.
‘rather, babies, just open and close their mouths.’

d. Saya sedar bahawa *bukan* mudah untuk memulihkan semula KPD

**1SG aware COMP NEG easy COMP revive again KPD dalam tempoh yang singkat ...**
in **period REL brief**
‘I am aware that it is not easy to revive/restore KPD [Rural Development Corporation] again within a short time period ...’

*Bukan* cannot be used within temporal or conditional clauses (104–105), but it is extremely common in reason clauses like those in 106. Of course, *tidak* can be used in all of these clause types.\(^75\)

(104) Ibu pulalah tempat saya bertanya [bila saya *tidak/*bukan tahu].

mother also=FOC place **1SG ask when 1SG NEG know**
‘Mother is also the one I ask [when there is something I don’t know].’

(105) Jika ada yang bertanya, saya akan menjawab sebatas mana pengetahuan saya.
‘If anyone asks, I will answer to the extent of my knowledge.’

[Jika saya *tidak/*bukan tahu], saya akan diam saja dan mencari jawaban yang tepat.
‘[If I do not know], I will just remain silent and look for the correct answer.’

(106) a. Ini lebih-lebih lagi dalam kes seorang wanita

‘This [i.e. the importance of choosing a spouse wisely] is especially true for a woman’

[kerana talak *bukan=nya berada di tangan wanita].
‘[because divorce is not in the woman’s hands] (i.e. not something that she can initiate).’

b. Agama jadi ngaco [karena orang *bukan=nya mendengar kata hatinya sendiri].

‘Religion gets messed up [because people don’t listen to their own hearts].’

These examples show that the range of clause types in which *bukan* can occur is very similar to that of various MCP in other languages. A second point of similarity is the fact that, as noted in §6.1, *bukan* cannot occur in nonfinite clauses. This is highly reminiscent of the observation by Hooper and Thompson that root transformations cannot occur in ‘reduced clauses’. These observations suggest that we should treat *bukan* as an MCP. The next question would be, to what extent can we explain its MCP status based on its semantic properties?

Many MCP have been analyzed as illocutionary modifiers, that is, elements that do not contribute to the propositional content of the utterance but in some way provide information about the speech act being performed. Examples include speaker-oriented

adverbs, performative honorifics in Japanese, speech style markers in Korean (Pak et al. 2013), various discourse or ‘modal’ particles in German and Dutch (Waltereit 2001, Haegeman 2010c), evidential markers (Faller 2002), and so on. The restriction of these forms to ‘root clauses’ is often argued or assumed to follow from their pragmatic function.

Can bukanᵥ be analyzed as an illocutionary operator? This is in fact the analysis that Horn (1985, 1989) proposes for metalinguistic negation. Horn argues that the metalinguistic negation of $p$ does not assert that ($not$ $p$), but rather denies that $p$ is felicitously assertable. He states (1985:139), for example, that “[o]ne frequent use of metalinguistic negation … is as a way of disconnecting the implicated upper bound of weak scalar predicates’. This use is illustrated in 107 (examples from Horn 1985).

(107) a. Around here we don’t like coffee—we love it.
   b. Some men aren’t chauvinists—all men are chauvinists.

He argues that scalar operators like some and like are ‘lower-bounded by their truth-conditional semantics; and that they may be upper-bounded (context permitting) by conversational implicature, triggered by Grice’s maxim of Quantity’ (Horn 1985:139). If the negation in examples like 107a,b were interpreted as normal, logical negation (i.e. as part of the propositional content), these statements would be logically contradictory; if all men are chauvinists, it is logically necessary that some men are chauvinists. But, Horn claims, what is negated in such examples is not the propositional content but the conversational implicature. Asserting some implicates not all; asserting like implicates not love. Negation is used to reject these assertions as infelicitous, because they are not strong enough.

This analysis seems quite plausible for the metalinguistic uses of bukanᵥ, but in its other uses, it seems that bukanᵥ does contribute to the propositional content of the utterance. When bukanᵥ is used in contrastive or narrow-focus contexts, it would be logically contradictory for the same speaker to assert the truth of the negated clause.

(108) a. Dia bukan bodoh, melainkan malas saja. (#Tetapi dia bodoh juga.)
   3SG NEG stupid on.the.contrary lazy only
   ‘He’s not stupid but just lazy. (#But he’s stupid too.’
   [cf. 2a] (adapted from Sneddon 1996:196)
   b. Siti bukan hendak kaut semua anugerah!
   Siti NEG want grab all award
   ‘Siti does not want to grab/collect all the awards!’
   (#Tetapi dia mahu mengaut/meraup semua=nya.)
   (#‘But she wants to grab them all.’)

One strong piece of evidence that bukanᵥ in these uses does contribute to the propositional content of the utterance is that it can be the focus of a yes-no question. Normally, illocutionary modifiers cannot be directly questioned in this way.

(109) Bukan=kah engkau lapar?
   NEG=Q 2SG hungry
   ‘Isn’t it the case that you are hungry?’
   (Sudaryono 1993:96)

While the three main uses of bukanᵥ seem to differ with respect to their status as illocutionary vs. propositional operators, all three do share at least one semantic feature, namely the echoic interpretation mentioned above. This intuition is expressed clearly in the statement by Lewis (1947:104–5) that was quoted in §2: ‘Bukan … implies a contradiction of, or an alternative to, a previous statement, question or thought’.
As noted in §3, this is particularly true for metalinguistic negation. Karttunen and Peters (1979:46–47), in describing the pattern they refer to as ‘contradiction negation’, state: ‘Negative sentences of the sort in [6] … contradict something that the addressee has just said, implied, or implicitly accepted’. Carston (1996:320) considers echoic interpretation to be the defining feature of metalinguistic negation: ‘The correct generalization about the metalinguistic cases is that the material in the scope of the negation operator, or some of it at least, is echoically used … A representation is used echoically when it reports what someone else has said or thought and expresses an attitude to it’.

Similarly, examples like those discussed in §4, in which *bukan* is licensed by narrow focus in the absence of any overt contrast or correction/rectification, seem to presuppose that someone (typically the addressee) believes the proposition being negated. *Bukan* is not always used to negate or contradict ‘a previous statement, question or thought’. In some cases *bukan* seems to be used proactively, to forestall a possible misinterpretation of what is about to be said; examples 72a and 76b seem to be instances of this type. But even these seem to fit naturally within the concept of echoic use.

7. CONCLUSION. I have presented evidence for the claims that (i) *bukan* is a sentential operator, a marker of external (sentential) negation, while *tidak* is a marker of internal (predicate) negation; and that (ii) external negation in Malay is an MCP. I have suggested that the restriction of external negation to ‘root clauses’ is motivated by the fact that the various uses of *bukan* all involve the negation of echoic material, and echoic use is possible only in contexts that have a sufficient degree of illocutionary independence, however that may be defined.

Horn (1989:Ch. 7) states that it is fairly rare in natural languages to find sentence negation expressed syntactically as a sentential operator. But given that Malay has such an operator, it is no accident that it should have the range of uses we have identified for *bukanV*. Horn (1989:446) describes a crosslinguistic tendency for ‘weak’ markers of standard (verbal) negation ‘to be differentiated from a marked and often archaizing strong form characteristically used in nonverbal, identificational, and/or contrastive (metalinguistic) contexts’. As noted above, *bukanN* is obligatory for negating nominal/identificational clauses. *Bukan* is strongly favored in cases of metalinguistic negation, contrastive negation, and negation with narrow focus. (I do not claim that these three pragmatic contexts are mutually exclusive, but only that any one of them is sufficient to license the use of *bukanV*.)

A natural question to ask is whether we really need to treat *bukan* as polysemous. Couldn’t *bukanV* and *bukanN* be unified as a marker of constituent negation, which can be applied either to IP or to NP? At least three of the facts discussed above seem to argue against this proposal. First, *bukan* can license NPIs only when it negates a predicate NP (*bukanV*), and not when it negates a verbal clause (*bukanV*). Second, only *bukanV* can optionally host the clitic =nya. When the *bukan=nya* form appears before a predicate NP, it has the same marked pragmatic status (implying contradiction or correction) that *bukan* always has in verbal clauses (Regina Yanti, p.c.), indicating that this is an instance of *bukanV*. In the same way, only *bukanV* can optionally occur in presubject position; when *bukan* appears in this position before a nominal clause, the sentence is pragmatically marked in the same way as other uses of *bukanV*.

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